### Title

Royal Society of Canada Working Group on Health Research System Recovery: Strengthening Canada's Health Research System after the COVID-19 Pandemic

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Tammy Clifford is the Vice-President of Research Programs at the CIHR and Bev Holmes is the president and CEO of Health Research BC. The remaining authors have declared that no conflicts of interest exist.

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### **Abbreviations**

Al Artificial intelligence

BIPOC Black, Indigenous, and people of colour CFI Canada Foundation for Innovation CIHI Canadian Institute for Health Information CIHR Canadian Institutes of Health Research

CKSF Canadian Knowledge and Science Foundation CRCC Canada Research Coordinating Committee

EDI Equity, diversity, and inclusion

GBA+ Sex- and gender-based analysis plus

GDP Gross Domestic Product

GloPID-R Global Research Collaboration for Infectious Disease Preparedness

KT Knowledge translation

NAPHRO National Alliance of Provincial Health Research Organizations

NFRF New Frontiers in Research Fund

NHMRC National Health and Medical Research Council

NIH National Institutes of Health

NIHR National Institute for Health and Care Research

OCAP principles
OCAS principles
OCAS principles
OECD
Ownership, control, access, and possession principles
Ownership, control, access, and stewardship principles
Organisation for Economic Co-operation and Development

PHAC Public Health Agency of Canada

REB Research ethics board

WCRI Women's College Research Institute

WHO World Health Organization







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# **Executive Summary**

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- 2 The COVID-19 pandemic tested health research systems. Although rapid research and
- 3 evidence use took place, the pandemic revealed gaps and inefficiencies in the health research
- 4 system. Together, the Royal Society of Canada Working Group on Health Research System
- 5 Recovery, the Canadian Institutes of Health Research, Health Research BC, and the
- 6 Knowledge Translation Program at St. Michael's Hospital partnered to develop actionable
- 7 recommendations that a range of organizations can act on, individually and collectively, to
- 8 strengthen Canada's health research system in the short, medium, and long-term. These
- 9 recommendations were based on knowledge exchange sessions and surveys that took place
- 10 between August 2022 and October 2023 with participants from G7 countries as well as Australia
- and New Zealand. Participants included leadership from health research funding agencies;
- leadership from research institutes; health, public health, and social care policymakers;
- 13 researchers; and members of the public.
- 14 The 12 recommendations, which represent opportunities for a range of organizations
- individually and collectively, were categorized into the four functions of the World Health
- Organization's framework for health research systems and are as follows:

## 17 Governance/Stewardship:

- Outline research logistics as part of emergency preparedness to streamline research in future pandemics.
  - 2. Embed equity and inclusion in all research processes.
- Facilitate streamlined, inclusive, and rigorous processes for grant application preparation
   (e.g., by research institutes) and review (e.g., by funders).
  - 4. <u>Create knowledge mobilization infrastructure to support the generation and use of evidence.</u>
- 25 5. Coordinate research efforts across local, provincial, national, and international entities.

#### 26 Financing:

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6. Reimagine the funding of health research.

### 28 Capacity Building:

- Invest in formative training opportunities rooted in equity, diversity, and anti-racism.
- 30 8. Support researchers' career development throughout their career span.
- 31 9. Support early career researchers to establish themselves.

## 32 **Producing and using research:**

- 33 10. Strengthen Indigenous health research and break down systemic barriers to its conduct.
- 11. <u>Develop mechanisms to produce novel research.</u>
- 35 12. Enhance research use across the health research ecosystem.







Taken together, these recommendations represent 12 actionable items for the post-pandemic advancement of Canada's health research system, which will strengthen our health systems and embed health in all policy.







## Introduction

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The COVID-19 pandemic tested health research systems (Hanney, et al., 2022), producing rapid and substantial changes in research conduct and in how research funders and researchers function, including their engagement with policy- and decision-makers (those responsible for developing and implementing policies and programs at organizational, local, provincial, or national levels) and other knowledge users (e.g., patients/people with lived experience, other members of the public, and clinicians). Although rapid research and evidence use took place, the pandemic revealed gaps and inefficiencies in the health research system. In response, the Royal Society of Canada Working Group on Health Research System Recovery conducted an international initiative, including knowledge exchange sessions and surveys, to develop actionable recommendations that a range of organizations can act on, individually and collectively, to strengthen Canada's health research system. The initiative was a partnership among the Royal Society of Canada, the Canadian Institutes of Health Research (CIHR), Health Research BC, and the Knowledge Translation Program at St. Michael's Hospital. Participants in this initiative were leadership (e.g., presidents, vice-presidents, management) from health research funding agencies in the G7 countries, as well as Australia and New Zealand; health, public health, and social care policy- and decision makers from these countries; research institute leadership from these countries; and members of the public and researchers from Canada. Sessions and surveys were structured around the four functions of the World Health Organization (WHO) framework for health research systems: (1) governance/stewardship: (2) financing: (3) capacity building: and (4) producing and using research (see Appendix A for definitions) (Pang, et al., 2003).







Of note, when this initiative was launched, the co-chairs (Drs. Clifford, Holmes, and Straus) met with the Scientific Director of CIHR's Institute of Indigenous Peoples' Health, who agreed to lead a parallel process focusing on Indigenous peoples' priorities for improving the health research system. Because of leadership changes within that institute, the parallel process had not been undertaken at the time of writing this report. As such, although some Indigenous individuals participated in the surveys and knowledge exchange sessions described here and although suggestions related to Indigenous health research were identified, this process was not led by Indigenous individuals. Suggestions related to Indigenous health research are included in this report and will be provided to the Institute of Indigenous Peoples' Health for their information.

# **Methods**

We conducted three virtual knowledge exchange sessions: one with leadership from health research funding agencies, and health, public health, and social care policy- and decision-makers in G7 countries, as well as Australia and New Zealand; one with leadership from research institutes in these same countries; and one with members of the public and researchers living in Canada. At sessions 1 and 2, we asked leaders what they did during the pandemic in each of the four functions of the WHO health research system framework (Pang, et al., 2003), what they plan to do in the future, and what they wish they had done differently. At session 3, we asked members of the public and researchers what they thought research funders, policy- and decision-makers, and researchers should do in the future.

Transcripts were generated from the small- and large-group discussions at each of the sessions, coded and analyzed, and used as inputs into the development of recommendations.

We disseminated three surveys to a range of organizations and through social media. The first collected information about organizations' strategies to support post-pandemic recovery of the







health research ecosystem. We disseminated survey 2 after the knowledge exchange sessions to obtain feedback and rankings on the draft recommendations. A third survey was used to prioritize implementation of actions and those responsible for them. We analyzed categorical data using descriptive statistics and open-ended data using a framework rapid analysis approach. Appendix B contains detailed methods, a sample discussion guide, and the surveys.

# **Results**

Results from each activity informed subsequent activities; as such, brief descriptions of the findings from the sessions and surveys are presented sequentially below. Detailed results are presented in Appendix E.

# Survey 1

A total of 42 individuals representing 40 research organizations, health research funding agencies, research user organizations, advocacy organizations and research consultant organizations completed survey 1. Participants represented six countries, with the majority from Canada. Respondent organizations reported having modified their research processes in several ways in response to COVID-19. These approaches are summarized in Appendix E, Table 1, organized according to the four functions of the WHO health research system framework (Pang, et al., 2003).

# Knowledge exchange session 1

Leadership from health research funding agencies and health, public health, and social care policy- and decision-makers attended session 1. Ten individuals representing nine organizations participated in this session. Most participants were leaders from health research funding







agencies. Tables 2 to 5 in Appendix E summarize key themes related to what participants did, what they plan to do in the future, and what they wish they had done differently across each of the four functions of the WHO health research system framework (Pang, et al., 2003).

# Knowledge exchange session 2

Leadership from research institutes attended session 2. Twenty-two individuals representing 19 organizations, most in Canada, participated in this session. Tables 6 to 9 in Appendix E summarize key themes related to what participants did, what they plan to do in the future, and what they wish they had done differently across each of the four functions of the WHO health research system framework (Pang, et al., 2003).

# **Knowledge exchange session 3**

Twenty-three members of the public and researchers attended session 3: 15 members of the public and 8 were researchers. Ten participants were women (43%), 10 participants were men (43%), 2 participants were non-binary (9%), and 1 participant did not disclose their gender. See Appendix E for racial and ethnic backgrounds of all participants. Tables 10 to 13 in Appendix E summarize key themes related to what participants thought research funders, policy- and decision makers, and researchers should do in the future across each of the four functions of the WHO health research system framework (Pang, et al., 2003).

# Survey 2

A total of 85 individuals completed survey 2. Just under half of the participants were from research organizations (49%); a third were research users (e.g., members of the public or patients, government employees, journal staff, health care providers) (31%), and smaller proportions were from health research funding agencies and other types of organizations (2%). When asked which recommendations were of greatest importance for implementation in







Canada, participants gave the highest ratings to recommendations that focused on prioritizing equity and anti-racism in research processes, improving patient engagement in research, enhancing emergency preparedness, increasing researcher and research trainee wages and development opportunities, and aligning and coordinating research priorities across different entities.

Common feedback from participants on the draft recommendations included a preference to avoid additional layers of oversight or bureaucracy across the research process, enthusiasm for harmonized research processes (e.g., research ethics board processes), and a desire to increase coordination among existing organizations.

# **Survey 3**

A total of 54 individuals completed survey 3. Over half of the participants were from research organizations (63%) and one quarter were research users (e.g., members of the public or patients, government employees, journal staff, health care providers) (24%). Participants were asked to select their priority action for each recommendation. In the following section, actions are listed with the top two ranked appearing first and second and whom they felt should be responsible for their implementation.

## Recommendations

From the results of the knowledge exchange sessions and surveys, the project team developed 12 recommendations to strengthen Canada's health research system after COVID-19. The recommendations are organized according to the four functions of the WHO health research system framework (Pang, et al., 2003). We also developed potential actions to support the implementation of the recommendations. Each action will require careful consideration by interested parties across jurisdictions to consider implementation feasibility and to prioritise.







# **Function 1: Governance/stewardship**

# Recommendation 1: Outline research logistics as part of emergency preparedness to streamline research in future pandemics.

- a) Develop an emergency preparedness research plan that encompasses data sharing (across provinces/territories and federal partners), cybersecurity, research ethics board (REB) approvals, protocols for key studies on health emergencies that have been precleared by an REB, supply chain procedures, and a One Health approach.\*
- b) Harmonize processes (relating to aspects such as data collection, privacy, and database searchability) for biobanks (repositories of biological samples that are used in research) and data sharing (the dissemination and exchange of data/research findings for the purpose of further research) within and across provinces/territories, to facilitate rapid initiation and performance of research.\*
- c) Develop an emergency preparedness research plan that coordinates research questions for prioritization by international research funders based on capacity, expertise, and infrastructure, and ensure that the plan is fully integrated with existing research policies.
- d) Centralize, standardize, and harmonize REB processes nationally, by using a single online application form and centralized intake process, with reviews distributed to regional REBs according to their wait times, standardized training, and tracking of timelines.
- e) During health/public health emergencies, prioritize REB review for relevant studies, maintaining an equity focus in the research.

<sup>\*</sup> Participants were asked to select their priority action for each recommendation. Actions are listed with the top two ranked appearing first and second.



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Who should be responsible for implementing the actions (according to survey respondents): overall, respondents felt that all actors in the health research ecosystem should be responsible for these actions including the CIHR, Public Health Agency of Canada (PHAC), Health Canada, provincial and territorial governments, universities, research institutes, and provincial and territorial funding agencies. Recommendation 2: Embed equity and inclusion in all research processes. a) Use equity and anti-oppression principles in all governance decision-making and activities and ensure alignment with the Tri-Council Agencies' Dimensions Charter and the rights of First Nations, Inuit, and Métis peoples (e.g., United Nations Declaration On The Rights Of Indigenous Peoples).\* b) Include patients/members of the public at all governance levels in the health research ecosystem, using an open and transparent recruitment process, and publicly report on their inclusion.\* c) Create a pan-Canadian database of ongoing studies with which patients can engage as both research partners and research leads. Who should be responsible for implementing the actions: overall, survey respondents felt that the CIHR, provincial and territorial governments, research institutes, and provincial and territorial funding agencies should be responsible for these actions. Recommendation 3: Facilitate streamlined, inclusive, and rigorous processes for grant application preparation (e.g., by research institutes) and review (e.g., by funders). a) Ensure that equity and diversity principles are embedded within all requests for proposals and grant applications, including the consideration that the research team and patient partners should reflect the diversity of the relevant population and that study







199 team and participant demographic characteristics are reported to granting agencies to 200 ensure they are reflective of the study population.\* 201 b) Monitor grant application and success rates through applicants' PROGRESS PLUS 202 factors (an acronym used to identify characteristics that stratify health opportunities and 203 outcomes) and sex- and gender-based analysis plus (GBA+), report on this information 204 publicly, and use it to inform and revise grant competitions (e.g., to prioritize specific 205 research areas or researchers who are experiencing structural barriers).\* 206 c) Reimburse peer reviewers for any caregiving support required for their dependents, to 207 enable the peer reviewers to attend meetings (e.g., as implemented at the CIHR). 208 d) Ensure that peer reviewers are trained in anti-oppression in research, as well as in 209 equity, diversity, and inclusion principles. 210 e) Mandate that principal investigators with peer-reviewed grants must participate in grant 211 peer review for the duration of their grant support. 212 Ensure flexible virtual and hybrid processes for grant peer review. 213 g) Include patients in grant peer review processes. 214 Ensure that patient peer reviewers are adequately compensated, to ensure they have 215 capacity to participate as peer reviewers. 216 i) When reviewing grants, use PHAC's strengthening the Integration of Intersectionality 217 Theory in Health Inequality Analysis checklist to assess whether and how research 218 applicants adhere to equity considerations. 219 j) Create transdisciplinary (e.g., reflective of the CIHR's 4 pillars of health research) grant 220 peer review panels and provide peer review training for transdisciplinary research. 221 k) Create iterative grant peer review processes, with opportunity for interviews with 222 applicants and the peer review panel to clarify questions/responses.







223 I) Streamline the grant application process for patients, through methods such as reducing 224 requirements for patients to complete letters of support, CVs, and GBA+ modules and 225 including patient-friendly instructions and modules. 226 m) Conduct peer review of the grant peer review process (including review of the peer 227 reviewers) and make the results of this peer review transparent. 228 n) Where possible, provide flexibility in deadlines for applications (e.g., frontline workers). 229 Provide networking opportunities for shortlisted or higher ranked applications. 230 231 Who should be responsible for implementing the actions: overall, survey respondents felt 232 that the CIHR, provincial and territorial funding agencies, and research institutes should be 233 responsible for these actions. 234 Recommendation 4: Create knowledge mobilization infrastructure to support the 235 generation and use of evidence. 236 a) Develop centralized processes to communicate and coordinate research priorities with 237 knowledge users (e.g., researchers, academic institutions, charitable organizations, and 238 patient/public organizations, including equity-deserving groups). Share communications 239 in several languages to reflect languages spoken by people in Canada.\* 240 b) Develop protocols and processes for rapid funding calls (e.g., ensuring they include a 241 focus on populations that may be at highest risk of health inequities).\* 242 c) Encourage the use of communication platforms across the funders including Tri-Council 243 Agencies and international funding agencies, to facilitate alignment of research priorities 244 in pandemics/health emergencies and to coordinate research-related communication (e.g., Global Research Collaboration for Infectious Disease Preparedness [GloPID-R]). 245 d) Develop principles of transparent communication related to what research is funded, 246

including publicly reporting data on research impact and the overall impact of research

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248 funding to take the opportunity to build on the enhanced recognition of the benefits from 249 health research that developed during the pandemic. 250 251 Who should be responsible for implementing the actions: survey respondents felt that the 252 CIHR, PHAC, and Health Canada were largely responsible for these actions. 253 Recommendation 5: Coordinate research efforts across local, provincial, national, and 254 international entities. 255 a) Leverage and extend use of the Canada Research Coordinating Committee (CRCC) to 256 provide research and innovation advice, oversight, democratic decision-making, coordination, prioritization, and communication across the Tri-Council Agencies and the 257 Canada Foundation for Innovation (CFI) and among international funding agencies.\* 258 259 Membership in the CRCC should be broad and include national (e.g., CIHR, 260 Health Canada, PHAC) and provincial/territorial (e.g., National Alliance of 261 Provincial Health Research Organizations [NAPHRO]) funding agencies. 262 This wider use of the CRCC could be achieved, for example, through strategies 263 to create better coordination, as suggested in the recent report of the Advisory 264 Panel on the Federal Research Support System (Government of Canada, 2023). 265 b) Establish a health research funding entity in each province/territory where these do not 266 currently exist, through which the provincial/territorial health ministry and public health 267 agency can connect on research priorities and evidence needs.\* 268 269 Who should be responsible for implementing the actions: survey respondents felt that 270 Health Canada, the CIHR, and provincial and territorial funding agencies and governments 271 should be responsible for these actions.







# Function 2: Financing

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## 273 Recommendation 6: Reimagine the funding of health research.

- a) Ensure long-term funding for successful research enterprises and develop strategies that balance de-funding of low-impact initiatives with funding for exploratory research.\*
- b) Establish funding and sustainability strategies for provincial/territorial health research hubs, with linkages to health, public health, social care, education, animal and environmental health, and cross-disciplinary collaborations.\*
- c) Embed equity considerations into decision-making for all research investments, such as making funding contingent on meaningful actions to address inequities.
- d) Assess the extent to which research infrastructure and associated funding exist for therapeutics, vaccines, and the development and implementation of diagnostic tests, and develop metrics for appropriate allocation.
- e) Increase overhead/indirect cost allowances (i.e., costs of doing research, including costs for space, human resources, contract support) for research institutes (e.g., to 50%) to cover the cost of research operations.
- f) Engage with industry to facilitate transparent contributions to funding and transparent communications with health research authorities/hubs/networks/platforms.
- g) Work with industry to generate unrestricted funds for project research grants and infrastructure grants, with transparency protocols.
- h) Ensure separate funding for research driven by knowledge users and research driven by researchers.
- i) Support mechanisms for crowdfunding research.
- j) Provide more support for researcher success (e.g., increase funding pool and/or support
   increased quality of applications).







296 k) Increase access to research funding pools for non-academic organizations (e.g., local 297 health authorities). 298 Specify funding requirements and fund access to support involvement of patients as 299 research partners (e.g., through honoraria, translation, childcare), while considering 300 patients' financial circumstances (e.g., implications for disability-related income, tax 301 implications). 302 303 Who should be responsible for implementing the actions: survey respondents felt that the 304 CIHR, provincial and territorial governments, and funding agencies should be responsible for 305 these actions. 306 **Function 3: Capacity building** 307 Recommendation 7: Invest in formative training opportunities rooted in equity, diversity, 308 and anti-racism. 309 a) Embed formative equity, diversity, inclusion, and anti-racism components in all research 310 capacity-building activities.\* 311 b) Provide collaborative training for researchers, patients, and policymakers on patient 312 engagement and knowledge mobilization.\* 313 c) Provide training for researchers on trauma-informed approaches to patient engagement, 314 that is, approaches rooted in an understanding of how trauma affects people, with the 315 purpose of avoiding potential re-traumatization during the research process. These 316 approaches would also acknowledge broader social contexts and how systems of 317 oppression (e.g., colonialism, white supremacy) cause trauma. 318 d) Provide training for researchers, patient partners, and policymakers on anti-oppression

practices in research, that is, practices that name and seek to dismantle injustices and







320 power imbalances in the broader social context and in the specific research processes 321 (e.g., asking community members most affected by the research to lead key research 322 activities, such as developing the project plan and the budget). Integrate inclusive writing 323 training for researchers and policy makers (e.g., anti-racist language). 324 e) Establish a transdisciplinary, pan-Canadian standard for engaging patients as partners 325 in research. 326 f) Increase opportunities for the full range of clinical staff to build their research capacity. 327 328 Who should be responsible for implementing the actions: survey respondents felt that the 329 CIHR, universities, research institutes, and provincial and territorial funding agencies should be 330 largely responsible for these actions. 331 Recommendation 8: Support researchers' career development throughout their career 332 span. 333 a) Use provincial/territorial/national data and dynamic modelling to estimate needs for the 334 health care workforce and the research workforce (e.g., align training opportunities while monitoring for burnout/lack of retention).\* 335 336 b) Create salary support pathways for scientists and clinician-scientists (such as salary 337 support awards or embedding of salary into grants) throughout their career trajectories, 338 from early- through mid- to senior-career phases.\* 339 c) Create pan-Canadian support strategies for those disproportionately affected, both 340 systemically and during the pandemic, such as Black, Indigenous, and people of colour 341 (BIPOC), women, non-binary people. Clinician-scientists were also disproportionately 342 affected during the pandemic because of increased clinical work. 343 d) Provide sufficient funding for researchers to engage in knowledge mobilization, including 344 funding for communications, media, and graphic design.







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Who should be responsible for implementing the actions: survey respondents felt that provincial/territorial funding agencies, the CIHR, and research institutes should be responsible for these actions.

## Recommendation 9: Support early career researchers to establish themselves.

- a) Create transdisciplinary research training networks for graduate students, fellows, and early-career researchers, to facilitate research and research training in diverse methods and disciplines (e.g., implementation science, <a href="One Health">One Health</a>, artificial intelligence) across all of the CIHR health research pillars.\*
- b) Create opportunities for post-doctoral fellows to work in more than one sector (academic, industry, or government) during a fellowship.\*
- Create pathway to independence awards to support early career researchers to transition from mentored research roles to independent research careers.

Who should be responsible for implementing the actions: survey respondents felt that universities, the CIHR, research institutes, and provincial/territorial fund agencies should be responsible for these actions.

# Function 4: Producing and using research

# Recommendation 10: Strengthen Indigenous health research and break down systemic barriers to its conduct.

a) Incorporate guidance and knowledge to support respectful research engagement with Indigenous people, including the <u>OCAP principles</u> (ownership, control, access, and possession), the <u>OCAS principles</u> (ownership, control, access, and stewardship), and Inuit Qaujimajatuqangit (Inuit traditional knowledge).\*







369 b) Remove barriers that prevent Indigenous knowledge keepers from being principal applicants for research funding.\* 370 371 c) Sustain Indigenous-led biobanks and data sharing. 372 373 Who should be responsible for implementing the actions: these actions should be led by 374 Indigenous researchers and groups. To complement this, survey respondents felt that the CIHR, 375 Health Canada, and universities should be responsible for these actions. 376 Recommendation 11: Develop mechanisms to produce novel research. 377 a) Further develop collaborative transdisciplinary grants across the Tri-Council Agencies 378 (leveraging the New Frontiers in Research Fund [NFRF]) to support collaborations across research disciplines, for activities ranging from development of the team and the 379 380 research project to completion and dissemination of the research.\* 381 b) Create partnership grants between researchers and knowledge users that focus on 382 research uptake. Engage colleges for research and as knowledge users.\* 383 c) Create provincial/territorial health research hubs to link the health care delivery context 384 with research across all CIHR health research pillars. Include patient/public engagement 385 and commercialization and facilitate a pan-Canadian network of these hubs. 386 d) Embed one or more clinical trial platforms within each health care delivery/health 387 research hub. 388 e) Engage patients/members of the public in prioritizing funding calls for research areas. 389 f) Increase grant budgets for pilot studies/idea grants. 390 391 Who should be responsible for implementing the actions: survey respondents felt that the 392 CIHR, Health Canada, provincial and territorial funding agencies, and government should be 393 responsible for implementation.







## Recommendation 12: Enhance research use across the health research ecosystem.

- a) Create a network of chief science advisors in all federal/provincial/territorial government departments.\*
  - Create opportunities for these advisors through knowledge exchange with researchers across Canada.
  - Enable these advisors to interpret and communicate science to policymakers,
     including through communication of evidence uncertainty.
- b) Create knowledge exchange opportunities across funded networks/platforms/hubs.\*
- c) Enhance science-to-policy dissemination training for researchers (e.g., training in plain language communication) and policymakers through various approaches, including modules and embedded training opportunities.
- d) Co-create and deliver public education strategies for increasing health research literacy among members of the public.
- e) Co-create and deliver training for patients on engaging in research.
- f) Co-create and deliver training for patients on interacting with policymakers.
- g) Improve provincial/territorial/national data banks (e.g., StatCan, Canadian Institute for Health Information [CIHI], and granting agencies).
- h) Enhance research use through ensuring potential users are fully involved in priority setting and co-producing research wherever possible.
- Increase incentives for researchers to engage in activities to promote research use by emphasizing and embedding processes for research impact assessment.

Who should be responsible for implementing the actions: survey respondents felt that all parties should be responsible for implementation including universities, research institutes, governments, and funding agencies.







## **Discussion**

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## Threats to the health research system

The COVID-19 pandemic rapidly produced substantial changes in research conduct and in how research funders and researchers function, including their engagement with policy- and decision- makers and other knowledge users. Participants in this project outlined some of the challenges faced in the research system in Canada during the pandemic and what strategies people implemented to try to mitigate them. While in some research areas the pandemic accelerated collaboration and innovation, in other areas it stalled or otherwise negatively affected research activities (Audisio, et al., 2022). Globally, the pandemic yielded unprecedented collaboration across governments, research funders and researchers such as initiatives focused on vaccine development and studies to understand seroprevalence of SARS-CoV-2 and immune correlates of infection (Druedahl, et al., 2021; Kowalski, 2021; Cai, et al., 2021; Fanning, et al., 2021; G20 Research Group, 2020). Researchers developed new collaborations across different sectors such as with industry to support development of new diagnostic tests and treatments, geography and engineering to inform wastewater surveillance for SARS-CoV-2, and mathematical modelers and immunology researchers to develop and target new testing approaches (The COVID-19 Immunity Task Force, 2021). Worldwide, the massive and rapidly evolving information demands of governments and the public created urgent needs for transparent evidence-based decision-making and evidence communication to ensure clarity and consistency of messages. These needs led researchers to develop partnerships with policy- and decision-makers at all government levels, health care leaders, and public health officials amongst others; it also underscored the need to consider the intersections among health, public health, social care, and education amongst other sectors.



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The pandemic similarly exposed and exacerbated weaknesses in the health research system. Worldwide, systemic bias and health inequities were exacerbated, making inequities for key groups not engaged in research (such as Black and Indigenous communities) worse (Khazanchi, et al., 2020). These inequities highlighted the need for transformative action to address systemic racism within research, health care systems and society. The accelerated rate of production and volume of research during the pandemic supported the growth of preprint registries as repositories for research that has not been peer reviewed (Caulfield, et al., 2021; Bauchner, et al., 2020; Palayew, et al., 2020); however, in many cases, this research was not published subsequently in a peer-reviewed journal, thereby threatening knowledge users' trust in results. Alongside, there was substantial spread of myths and misinformation (Fahim, et al., 2023; Theivendrampillai, et al., 2023), which affects public trust in decision-makers and underscores the need for efforts to build public trust in science and government (Caulfield, et al., 2021; Saitz & Schwitzer, 2020). Similarly, the pandemic produced disruption and yielded inequities across research institutions and researchers. For example, for long periods, basic science researchers were unable to access their labs; this placed their work, careers, and careers of their lab staff in jeopardy. In addition, health charities faced substantial reductions in donations, thereby decreasing research funding (Breathe the Lung Association, n.d.; Imagine Canada, 2021). These decreases in resources from health charities further stressed the already underfunded research pipeline, with the most notable impacts on trainees, early career researchers and researchers from underrepresented groups (including women, BIPOC, and disabled scientists). For example, studies showed that the gender gap in authorship of publications posted on pre-preprint registries grew significantly during the pandemic and women submitted fewer grant applications during this period with the results being worst for BIPOC women (Narayana, et al., 2020; Rabinowitz &







Rabinowitz, 2021; Myers, et al., 2020; Andersen, et al., 2020; Staniscuaski, et al., 2021; Halley, et al., 2021; Mishra, et al., 2023). Moreover, the impact on women researchers who are often the primary caregivers for children or older family members was dramatically impacted during the pandemic (Andersen, et al., 2020; Staniscuaski, et al., 2021; Halley, et al., 2021; Davis, et al., 2022). Studies also showed that women were more likely to take on COVID-related clinical and administrative work than their men counterparts were. As such, women physician scientists, and in particular those at early career stages, face substantial challenges in recovering from the pandemic (Narayana, et al., 2020; Rabinowitz & Rabinowitz, 2021; Myers, et al., 2020; Andersen, et al., 2020; Staniscuaski, et al., 2021; Halley, et al., 2021). Similarly, for researchers able to pivot into COVID-19 research, the pandemic provided new opportunities, while those who could not pivot their research face a difficult and likely prolonged recovery period.

# Actionable recommendations to meet these challenges

Some of these challenges within the Canadian health research system are longstanding, others represent new challenges that the pandemic has revealed; however, all these issues must be addressed to build a robust health research system. Various organizations and coalitions are beginning to address aspects of health research system recovery post-pandemic. However, increased coordination across initiatives, development of new initiatives, and purposeful action are urgently needed to develop an approach to facilitate pandemic recovery and transform the health research system to be more nimble, innovative, diverse, inclusive, equitable, and resilient.

We undertook an international initiative to identify potential solutions to these challenges. It included a series of knowledge exchange sessions and surveys to develop actionable recommendations to strengthen Canada's health research system after the COVID 19 pandemic, to strengthen our health care and public health system and embed health in all







policy. Participants discussed how the pandemic response of Canada's health research system could be strengthened through a variety of means, such as developing robust emergency and communications processes; prioritizing equity, diversity, and anti-racism; increasing coordination; revising funding processes'; investing in researchers, patient engagement, and capacity building; breaking down barriers to Indigenous-led health research; and producing innovative and useful research. Informed by the session and survey results, we presented 12 actionable recommendations that a range of organizations individually and in partnership can take to strengthen Canada's health research system after the pandemic, noting that some of these are in process.

# Related work and placing this report in context

Our work is complementary to other initiatives that were conducted across different jurisdictions. Some provinces are examining their research response to the pandemic (e.g., British Columbia) and exploring what might be put in place to strengthen their health research systems (Michael Smith Health Research BC, 2023; Michael Smith Health Research BC, 2022). The CIHR, NAPHRO and the Health Charities Coalition of Canada undertook discussions on the impacts, gaps, and opportunities in the Canadian health research landscape because of COVID-19 to identify areas for collective action among Canadian health research funders. In March 2023, the Advisory Panel on the Federal Research Support System (Government of Canada, 2023) was released, following consultations with interested parties including researchers. It recommended to increase research funding, create a national research vision, and support coordination across research funders, amongst other suggestions. This Advisory Panel Report aligned with similar recommendations made in the 2017 Fundamental Science Review (Government of Canada, 2017). There is a review underway of the federal approach to pandemic science advice and research coordination, which is expected to release recommendations in 2024. It will provide



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federal-level recommendations for future pandemics and other health emergencies on optimizing science advice impact, evidence synthesis coordination, and supporting efficient decision-making, including on research investments. Internationally, some countries are conducting reviews of their pandemic response, including that in research (UK Covid-19 Inquiry, 2024; UK Research and Innovation, 2023). The journal Health Research Policy and Systems dedicated a theme issue (Health Research Policy and Systems, 2021) to the role of health research systems in the control and management of COVID-19, so that the experiences of countries and their lessons learned could be shared. There are common themes found across all these reports including the need to increase research investment and enhance coordination. Similarly, recommendations are aligned on enhancing relationships between researchers and policy- and decision-makers and in supporting the development of research talent pathways. In particular, there is worldwide recognition of the importance of supporting graduate students, fellows and early career researchers – who represent the future of science and innovation. Moreover, critical attention must be paid to anti-oppression and equity in research to address health disparities. There are some different recommendations identified in this current report, which may be due to engagement of additional interested parties beyond researchers and policy- and decisionmakers – namely members of the public. As such, there was a major theme and actionable recommendations on public engagement, which is critical to rebuild trust in science. Moreover, there was a theme and recommendations on enhancing capacity and methods for knowledge mobilisation (including the science and practice of implementation) to optimize research uptake and a theme on breaking down barriers to Indigenous-led research. Not all the recommendations made in this current report will be immediately implementable and they will need to be prioritized, considering feasibility across jurisdictions. Moreover, their



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implementation must be contextualized in the current reality of health research funding in Canada. For example, the CIHR budget was \$1.02B in 2014 and \$1.2B in 2023 (HealthCareCAN, 2023). Canada's investment in research lags behind those of other Organisation for Economic Co-operation and Development (OECD) countries. In 2022, Canada spent 1.5% of its Gross Domestic Product (GDP) on research and development in comparison to 3.3% by Australia and 4.7% by the United States. Indeed, Canada is the only G7 country where research and development as a percentage of GDP has decreased over the past 20 years (OECD, 2024). There are several limitations to this project. First, as mentioned above, initially a parallel initiative was planned by the Scientific Director of CIHR's Institute of Indigenous Peoples' Health to focus on Indigenous peoples' priorities for changing the health research ecosystem. Because of leadership changes within that institute, the parallel process was not undertaken to date. As such, although some Indigenous individuals participated in the surveys and knowledge exchange sessions described here and suggestions related to Indigenous health research were identified, this process was not led by Indigenous individuals. The suggestions related to Indigenous health research, which point to certain systemic changes that would facilitate Indigenous-led research, are included in this report, and were provided to the Institute of Indigenous Peoples' Health for their information. We hope that an Indigenous-led initiative occurs in the near future. Second, because of the timelines for this project, a rapid framework analysis was conducted; this method has been used extensively in qualitative research. including during the COVID-19 pandemic to meet timelines to inform decision making (Gale, et al., 2019). Third, we focused the work on G7 countries, Australia, and New Zealand, which may have excluded promising initiatives from other countries. However, these countries were selected because of similarities in health research and health systems. Moreover, their inclusion is also a strength of the proposal in that the project looked outside of Canada for promising







563 strategies. Fourth, given small cell numbers we are unable to report on intersections across 564 participant demographics for all results. As such, we cannot comment on whether the 565 participants in each project phase reflect the diversity of the population in Canada; however, we 566 have diversity in province/territory representation and participant type. 567 There are several strengths to this initiative that make it unique. First, we used rigorous mixed 568 methods to conduct this project, with each phase informing the next and engaging different 569 cadres of knowledge users. Second, we conducted the sessions in English and French. We also 570 optimised accessibility and engagement for participants across all data collection phases. Third, 571 we included individuals from different interested parties including researcher leaders, 572 researchers, funders, policy- and decision-makers, and members of the public. The inclusion of 573 the latter group is a particular strength that makes this initiative unique from other research 574 reviews. Given that members of the public are the intended focus of health research, it is critical 575 that their views be incorporated. Fourth, we used trauma-informed approaches to engage the 576 members of the public and ensured meetings and surveys were accessible. 577 In summary, we have a chance in Canada to reshape the health research system. The 578 recommendations represent 12 actionable items for the post-pandemic advancement of 579 Canada's health research system, which will strengthen our health systems. While some of 580 these actions are specific to pandemics or other emergencies, most focus on actions that 581 should happen now and we risk much in Canada by not acting.







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# Appendix A. Definitions of the four functions of the WHO health

# research system framework

- 1. Governance/stewardship including vision, priority setting, ethics and monitoring/evaluation: being concerned with oversight of the entire health research system. It is primarily the responsibility of government, but others may have responsibility including national health research councils and professional associations. It includes four components: "definition and articulation of a vision for a national health research system; identification of appropriate health research priorities and coordination of adherence to them; setting and monitoring of ethical standards for health research and research partnerships; and monitoring and evaluation of the health research system itself (Pang, et al., 2003)."
- 711 2. **Financing:** "securing of research funds and allocating these accountably" and subsequently
  712 monitoring these funds and allocation. This process should be efficient, transparent, and
  713 peer-review-based (Pang, et al., 2003).
- 3. Capacity building encompassing capacity to conduct (including supporting the life cycle of the researcher), receive and use research: bringing new researchers and institutions into the health research system, further developing and sustaining the existent human and physical capacity, maintaining good physical facilities to conduct research, an attractive career structure, good research management, availability of funding, opportunities to present and discuss data, rapid access to current research information, and addressing internal or external researcher migration and "brain drain (Pang, et al., 2003)."
  - 4. Producing and using research to improve health and strengthen the public, social and health care systems: "producing scientifically valid research outputs, translating and communicating research to inform health policy, strategies, and practices, and public







opinion, and promoting the use of research to develop new tools (drugs, vaccines, devices and other applications) to improve health (Pang, et al., 2003)."









## **Appendix B. Methods**

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We used qualitative and quantitative methods, including surveys and knowledge exchange sessions, to develop recommendations to strengthen Canada's health research ecosystem.

From the sessions and surveys, we developed actions to accompany each recommendation to support the implementation of the recommendations.

### **Knowledge exchange sessions**

### Recruitment

We conducted three knowledge exchange sessions. For session 1, we purposefully recruited leadership from health research funding agencies as well as health, public health, and social care policy- and decision-makers in G7 countries, as well as Australia and New Zealand. For session 2, we purposefully recruited leadership from research institutes in G7 countries, as well as Australia and New Zealand. Participants were also recruited through the study team's and project partners' circles of contact and through snowball sampling via other participants. For session 3, we recruited members of the public and researchers living in Canada through the study team's and project partners' circles of contact, social media, and newsletters. Session 3 participants were sampled to reflect diversity across research areas and career stages (for researchers) and across gender, race, age, and geographic locations (for researchers and members of the public). This project was approved by the Unity Health Toronto Research Ethics Board. The discussion guide for each knowledge exchange session was co-created by researchers and knowledge users. See Appendix C for the session 1 discussion guide as an example. Guides for sessions 2 and 3 were developed iteratively, using data from previous sessions. Prior to each knowledge exchange session, guides were tested with 2 to 3 knowledge users and refined as needed for clarity.







### **Data collection**

We conducted three 3-hour knowledge exchange sessions via video/teleconference: on October 21, 2022 (session 1), with leadership from health research funding agencies and health, public health, and social care policy- and decision-makers; on October 26, 2022 (session 2), with leadership from research institutes; and on January 27, 2023 (session 3), with members of the public and researchers. Sessions were held in English with provision of French interpretation when needed. The sessions were audio-recorded and transcribed. Sessions included small-group discussions (6-8 participants) to provide opportunities for all participants to share and large-group discussions (all session participants) to facilitate knowledge exchange among all participants. Discussions were guided by a member of the study team using a semi-structured discussion guide. We collected demographic data (e.g., gender, race) for only session 3 participants to sample to reflect diversity across these factors. We did not collect these data for sessions 1 and 2 participants as we purposefully recruited those in leadership positions and relevant policy- and decision-makers.

At sessions 1 and 2, we asked leaders from health research funding agencies, research institutes, and policy- and decision-makers about what they did during the pandemic in each of the four functions of the WHO health research system framework (Pang, et al., 2003), what they plan to do in the future, and what they wish they had done differently. We prompted participants with additional questions relevant to the framework; about how equity, diversity, inclusion, and anti-racism were considered; about sustaining, scaling, and spreading positive changes; about centralizing research priority setting; and about balancing COVID-19 and non–COVID-19 research.







At session 3, we asked members of the public and researchers what they thought research funders, policy- and decision-makers, and researchers should do in the future. We prompted participants with additional questions situated in the framework (Pang, et al., 2003), about how equity, diversity, inclusion, and anti-racism could be better integrated into Canada's health research system; about how to reduce barriers to involving patients and members of the public in health research; about how to sustain a health research workforce; and about how to balance COVID-19 and non–COVID-19 research in future health or public health emergencies.

### **Analysis**

Transcripts were generated from the small- and large-group discussions at each of the sessions, for a total of 13 transcripts. Two experienced researchers double coded 15% of the transcripts. They discussed and resolved discrepancies where kappa was less than 0.6. Data were analyzed using a framework rapid analysis approach (Hamilton, 2013; Gale, et al., 2019).

### **Surveys**

#### Recruitment and data collection

Three surveys were disseminated. The surveys were co-created by the research team, which included knowledge users. See Appendix D for the surveys. The surveys were reviewed by 3 to 5 knowledge users prior to dissemination to assess face validity and to ensure they were not onerous to complete. The Total Design Method (Hoddinott & Bass, 1986) was used to create and deliver survey reminders. Survey 1 collected information about organizations' strategies to support post-pandemic recovery of the health research ecosystem. Before the knowledge exchange sessions, this survey was sent to those who had agreed to participate in sessions 1 and 2 and was more broadly disseminated to representatives of related organizations (e.g., funding agencies) by email and social media. Survey respondents were asked whether they were implementing strategies within their organization to address any of the four functions of the



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WHO health research system framework (Pang, et al., 2003) and whether the strategies had been modified to support post-pandemic recovery. The survey was available from August 16 to October 14, 2022, in both English and French. Survey 2 was disseminated after the three knowledge exchange sessions. This survey was used to obtain feedback on the draft recommendations by asking participants to rate each recommendation in terms of its importance for implementation in Canada and to provide comments or suggestions on the recommendations. The survey was widely disseminated to all session participants, project partners, various relevant research funding agencies, research institutes, health organizations, and patient partner organizations, and was also distributed by social media in Canada. The survey was available from April 24 to July 11, 2023, in both English and French. Survey 3 was used to prioritize implementation of actions that accompany the updated recommendations and to specify who should be responsible for implementing these tasks (e.g., Health Canada, CIHR, provincial/territorial governments, universities, other). The survey was widely disseminated to all session participants, project partners, various research funding agencies, research institutes, health organizations, and patient partner organizations, as well as by social media. The survey was available from October 2 to October 18, 2023, in both English and French. **Analysis** Across all three surveys, we analyzed categorical data using descriptive statistics and openended data using a framework rapid analysis approach (Hamilton, 2013; Gale, et al., 2019). Note that this research is the results of these knowledge exchange sessions and surveys; it

does not necessarily represent the views of the authors or their institutions.











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and your organization.





# Appendix C. Knowledge exchange session discussion guide example

Knowledge exchange session 1 discussion guide 822 823 1. Knowledge Translation (KT) Program Introduction (30 minutes) 824 Hello attendees, my name is [insert facilitator name] and I am [insert role and organization]. 825 Thank you very much for agreeing to participate in this discussion and taking the time to speak 826 with us. I also have [insert names of other team members] on the call with me today. The 827 Knowledge Translation (KT) Program at St. Michael's hospital is thrilled to be supporting this 828 project. Please post in the chat or email Negin if you are having any technical issues. 829 1.1. Acknowledgement of Traditional Land 830 The Knowledge Translation Program is located on land now known as Tkaronto (Toronto). 831 Tkaronto is the traditional territory of many groups, including the Mississaugas of the Credit and 832 the Chippewa/ Ojibwe of the Anishnaabe Nations; the Haudenosaunee, and the Wendat. 833 It is now home to many diverse First Nations, Inuit, and Métis peoples. We also acknowledge 834 that Tkaronto is covered by Treaty 13 with the Mississaugas of the Credit and The Dish with 835 One Spoon treaty between the Anishinaabe, Mississaugas and Haudenosaunee that connected 836 them to share the territory and protect the land. 837 We invite participants to share where they are located in the chat box. 838 1.2. Roundtable introductions and competing interests 839 We will now do guick introductions of everyone on the call. I will go through my list of names 840 and call on you, please feel free to share your name, pronouns if you feel comfortable doing so,







Additionally, thank you for providing any competing interests in advance through the survey. As a reminder, we sent these to all attendees. Before beginning, we want to provide opportunity to discuss these. Please feel free to share any competing interests, and any reflections on these.

### 1.3. Meeting agenda

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- 1. Framing for the discussion
- 2. Review structure of the session
- 3. Review survey results
- 4. Review terms of consent
- 5. Review survey results
- 851 6. Small group discussions
- 7. Report back and large group discussion

### 1.4. Purpose of this initiative

As a reminder, we are holding a series of sessions that are part of an international knowledge exchange initiative. Given the impact of the pandemic on health research systems worldwide, the purpose of this initiative is to develop actionable recommendations to strengthen Canada's health research system post-pandemic. Please note, we are using Pang et al. (Pang, et al., 2003) definition of a health research system, defined as the people, institutions and activities whose primary purpose is to generate high-quality knowledge that can be used to promote, restore, and/or maintain the health status of populations.

We will be holding sessions with leaders from health research funding agencies and health, public health and social care policy makers, leaders from research institutes, and members of the public and researchers.







### 1.5. Purpose of today's session

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The purpose of this session specifically is to bring together leaders from health research funding agencies and health, public health and social care policy makers from G7 countries, Australia, and New Zealand to inform recommendations on strategies to strengthen the Canadian health research system and accelerate its post-pandemic recovery in the short, medium and long-term; identify opportunities for collaboration on these strategies; and outline the potential consequences if these actions are not undertaken.

### 1.6. Structure of today's session

- We will be focusing our discussion today around four themes from the WHO research system framework (Pang, et al., 2003), we will be defining these once we break out into our group discussions.
  - Governance/stewardship including vision, priority setting, ethics and monitoring/evaluation
- 877 2. Financing
- 3. Capacity building encompassing capacity to conduct (including supporting the life cycle of the researcher), receive and use research
  - Producing and using research to improve health and strengthen the public, social and health care systems
- For today's session, we will focus on the following overarching questions, to be addressed within each of the 4 themes.
- 1. What did you do in this area and why?
- 885 2. Did it work why/why not?
- 3. What do you wish you'd done differently and why?



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887 Think about what you did at the beginning of the pandemic and how that has evolved through 888 subsequent waves and now into recovery. 889 The structure of today's session will include small and large group discussions. We will assign 890 everyone to a breakout room to discuss in small groups these three questions, within each of 891 the 4 themes. The small groups will last 1 hour. Following this, we will come back to the large 892 group to report back and continue discussion. The large group discussion will last about 1 hour 893 and 15 minutes. This session will last approximately 3 hours total. 894 There will be a facilitator in each small group to lead the discussion. 895 Please feel free to post any suggestions in the chat during both the large and small group 896 discussions. We will make sure to save the chat and include it in our analysis. 897 Please note, we will record and transcribe both the small and large group discussions. 898 Before we break out into rooms, here are some guidelines for today's session: 899 1. We strongly urge you to respect each other's privacy and not discuss who participated or 900 what is said in the discussion with others. 901 2. To allow us to capture all the information being discussed today as a group, we would 902 request that everyone say their name and organization before they speak and take turns 903 speaking to avoid speaking at the same time. Please note that we will ask you to repeat 904 your name following your comment if you have not provided it. 905 3. If we have not heard you respond for a few questions, we may call on you to encourage 906 you to provide an answer. Please feel free to decline answering any question you would 907 not like to provide an answer for.

4. We also want to emphasize there is no need to wait for the facilitator to call on you to

speak, feel free to jump in once the other person is done talking.







### 1.7. Overview of survey results

Thank you for completing the survey and providing, in advance, strategies your organisation is using to strengthen health research systems within these 4 themes. Below and in our breakout groups, we will share the results of the survey. We hope this will act as a launch point for today's discussion. [Summary to be included in slides]

#### 1.8. Terms of consent

- As a reminder, the email inviting you to this session outlined the terms of consent for participation. These are also included in the meeting package attached to the meeting invite. I will run through them briefly again before we begin.
- Your participation is voluntary.
  - 2. You can choose to stop participating at any time.
- 3. No one other than the research team, the transcriptionist, project partners, and other
  individuals participating in this session with you will know what you said.
- 923 4. The session will be recorded. Participants can leave their cameras on or off.
- 924 5. Any identifiable information, except for your professional role type, will be omitted during925 transcription.
  - 6. The session results will be pooled and reported without any personal information in publicly available products; though we may include your organization type (e.g., "a provincial health research organization"). De-identified quotes may be used in reports and publications.
  - Participants are free to contact the study team and withdraw their data prior to the data analysis stage.
  - 8. If you do not consent to participate, please feel free to disconnect from the call. Your consent to participate will be implied by staying on the line.







Are there any questions before we begin?

### 1.9. Break out room preparation

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- We have split you into 2 groups. Group 1 will stay in this main room, and Group 2 will go into the breakout room. I will now list off who is in each room. Again, if you are in Group 1, please remain here in the main room. If you are in Group 2, please enter into the breakout room when prompted.
- 940 \*Group 2 departs into the breakout room\*
  - 2. Survey results and small group discussion questions (60 minutes
- 942 We will now begin recording.
- 943 \*Begin recording session\*
- 944 We will now get started with the questions...

# Theme 1 – Governance/stewardship including vision, priority setting, ethics and monitoring/evaluation

1. The first theme we will discuss is governance/stewardship. Pang et al. (Pang, et al., 2003) define governance/stewardship as being concerned with oversight of the entire health research system. It is primarily the responsibility of government, but others may have responsibility including national health research councils and professional associations. It includes four components: "definition and articulation of a vision for a national health research system; identification of appropriate health research priorities and coordination of adherence to them; setting and monitoring of ethical standards for







health research and research partnerships; and monitoring and evaluation of the health research system itself."

2. Survey respondents were asked how the strategies have been modified to support pandemic recovery:

Modification	Number of participants
Identified and incorporated key COVID-19 related goals and priorities into organizational plans	11
Developed new projects and programs to study the impacts of COVID-19	6
Created new teams and linkages both internal and external to the organization	3
Implemented virtual processes to replace in-person processes	2
New or increased organizational focus on health equity	2

- 1. Discussion questions:
  - What did you do in this area and why?
  - Did it work why/why not?
  - What do you wish you'd done differently and why?
- 2. Think about what you did at the beginning of the pandemic and how that has evolved through subsequent waves and now into recovery







### Theme 2 - Financing

- The second theme we will discuss is financing. According to Pang et al. (Pang, et al., 2003) financing of health research systems refers to "securing of research funds and allocating these accountably" and subsequently monitoring these funds and allocation.
   This process should be efficient, transparent, and peer-review-based.
- 2. Survey respondents were asked how the strategies have been modified to support pandemic recovery:

Modification	Number of participants
Changed priorities of funded research to focus on COVID-19 recovery and broader long-term impacts of COVID-19	6
Increasing amount and accessibility of funding for COVID-19 research	7
Tracking COVID-19 research funding allocation and needs	2

### 1. Discussion questions:

- What did you do in this area and why?
- Did it work why/why not?
- What do you wish you'd done differently and why?







2. Think about what you did at the beginning of the pandemic and how that has evolved through subsequent waves and now into recovery

# Theme 3 – Capacity building encompassing capacity to conduct (including supporting the life cycle of the researcher), receive and use research

- 1. The third theme we will discuss is capacity building, encompassing capacity to conduct (including supporting the life cycle of the researcher), receive and use research. Pang et al. (Pang, et al., 2003) define this as bringing new researchers and institutions into the health research system, further developing and sustaining the existent human and physical capacity, maintaining good physical facilities to conduct research, an attractive career structure, good research management, availability of funding, opportunities to present and discuss data, rapid access to current research information, and addressing internal or external researcher migration and "brain drain."
- 2. Survey respondents were asked how the strategies have been modified to support pandemic recovery:

Modification	Number of participants
Supported staff and researchers based on their needs	6
Developed infrastructure and staff positions to support COVID- 19 recovery	9
Modified format and timelines of capacity building programs	3







Downsized research work to support other COVID-19 efforts	2

- 1. Discussion questions:
  - What did you do in this area and why?
  - Did it work why/why not?
  - What do you wish you'd done differently and why?
- 2. Think about what you did at the beginning of the pandemic and how that has evolved through subsequent waves and now into recovery

# Theme 4 - Producing and using research to improve health and strengthen the public, social and health care systems

- 1. The fourth theme we will discuss is producing and using research to improve health and strengthen the public, social and health care systems. Pang et al. (Pang, et al., 2003) define this as "producing scientifically valid research outputs, translating and communicating research to inform health policy, strategies, and practices, and public opinion, and promoting the use of research to develop new tools (drugs, vaccines, devices and other applications) to improve health."
- 2. Survey respondents were asked how the strategies have been modified to support pandemic recovery:

Modification	Number of participants
Held COVID-19-related knowledge translation events	5







Developed COVID-19-related knowledge translation materials	5
Supported partnerships and integrated knowledge translation activities	4
Modified formats of knowledge translation events	3
Developed new methods to share data	1
Attention to equity, diversity, inclusion and racism in knowledge mobilization activities	1

- 1. Discussion questions:
  - What did you do in this area and why?
  - Did it work why/why not?
  - What do you wish you'd done differently and why?
- 2. Think about what you did at the beginning of the pandemic and how that has evolved through subsequent waves and now into recovery

946 **3. Break (10 minutes)** 

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We will now take a 10 minute break. Please come back by [insert time]. Once we are all back we'll share what we talked about in our breakout rooms and have more discussion.

4. Report back in large group and discussion (1 hour and 15 minutes)

We'll now go through each of the themes and will briefly summarize the small group discussions, and following each summary, we will provide a chance for the group to discuss these further, with some prompting questions.







# Theme 1 – Governance/stewardship including vision, priority setting, ethics and monitoring/evaluation

#### Areas of focus:

- 1. Organizational focus on health equity
  - a. And in general, how was equity, diversity and inclusion (EDI) considered/not considered?
- 2. Coordination of research/research funding/research initiatives
- 3. What was the balance of Covid/non-Covid research/capacity building considerations?
- 4. Was there a benefit of centralization of priority setting of research? And what were the pros/cons?

### Theme 2 - Financing

### Areas of focus:

- 1. Coordination of funding and avoiding duplication of effort
- 2. Sustainability of initiatives, including research networks, funding
- 3. How was EDI considered/not considered?
- 4. What was the balance of Covid/non-Covid research/capacity building considerations?
- 5. Was there a benefit of centralization of priority setting of research? And what were the pros/cons?

# Theme 3 – Capacity building encompassing capacity to conduct (including supporting the life cycle of the researcher), receive and use research

### Areas of focus:

- 1. Retaining and sustaining a workforce
- 2. Gaps in training/paths for researchers







- 3. How was EDI considered/not considered?
- 4. What was the balance of Covid/non-Covid research/capacity building considerations?
- 5. Was there a benefit of centralization of priority setting of research? And what were the pros/cons?

# Theme 4 - Producing and using research to improve health and strengthen the public, social and health care systems

#### Areas of focus:

- 1. What happens next? How do we sustain/scale/spread?
- 2. How was EDI considered/not considered?
- 3. What was the balance of Covid/non-Covid research/capacity building considerations?
- 4. Was there a benefit of centralization of priority setting of research? And what were the pros/cons?

### 5. Next steps (3 minutes)

#### 955 Next steps:

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- 956We are holding session 2 with leaders from health research organizations: on October95726, 2022
- 958 2. We are holding session 3 with members of the public and researchers in the coming months
  - 3. Based on these 3 sessions, we will be developing recommendations to strengthen Canada's health research system post-pandemic: winter/spring 2023
- 962 4. We will be sending around a survey for input on the draft recommendations:963 winter/spring 2023







### 6. Thank you! (3 minutes)

Thank you for taking the time to take part in this session. For questions or additional comments please contact [insert email]. If you have any follow up comments, please feel free to share them by email by October 28, 2022.

## **Appendix D: Surveys**

### Survey 1

Q1 Background

The Royal Society of Canada Working Group on Health Research System Recovery, in partnership with the Canadian Institutes of Health Research (CIHR), is holding a series of sessions that are part of an international knowledge exchange initiative. Given the impact of the COVID-19 pandemic on health research systems worldwide, the purpose of this initiative is to develop actionable recommendations to strengthen Canada's health research system post-pandemic. Health research system can be defined as the people, institutions, and activities







977 whose primary purpose is to generate high-quality knowledge that can be used to promote, 978 restore, and/or maintain the health status of populations. 979 We will be holding sessions with leaders from health research funding agencies; health, public 980 health, and social care policy makers; leaders from research institutes; individual researchers; 981 and members of the public. 982 In preparation for these meetings with representatives from organizations and sectors such as 983 yours, we are requesting your completion of this survey to: Outline strategies within your organization that address any of the 4 themes from the 984 985 WHO research system framework1 (listed below) 986 Indicate whether these strategies have been modified to support pandemic recovery 987 Declare any competing interests 988 Themes from the WHO research system framework (Pang, et al., 2003): 989 1. Governance/stewardship including vision, priority setting, ethics and 990 monitoring/evaluation 991 2. Financing 992 3. Capacity building encompassing capacity to conduct (including supporting the life cycle 993 of the researcher), receive and use research 4. Producing and using research to improve health and strengthen the public, social and 994 995 health care systems Q2 Terms of consent 996 997 Before beginning the survey, please review the terms of consent. If you have any questions 998 please contact Robyn.Beckett@unityhealth.to.







- Your participation in this survey is voluntary.
  - You can choose to stop completing the survey at any time, though any responses up to that point may be used, as outlined below.
  - The responses will be synthesized by relevant members of the KT Program, Unity Health Toronto.
  - Prior to the sessions we will disseminate information on reported strategies collected from the survey and any supporting documents provided, to session participants.
  - The results of the survey will also help form the recommendations.
  - Results will be pooled and may be publicly reported in products summarizing the results
    (e.g., reports, publications), without any personal information, though we may include
    your organization type (e.g., "a provincial health research organization"). De-identified
    quotes may be used in reports and publications.
  - There is minimal risk associated with participation in this study, however, there is a risk
    that participants may later regret sharing some responses in the survey; however,
    participants are free to contact the study team and withdraw their data prior to the data
    analysis stage. As it is possible that participants may disclose identifying information, all







1015	such identifying information will be changed in any written reports or oral presentations
1016	so that participants' privacy will be protected.
1017	If you consent to the terms of the study you are welcome to begin. Your consent to
1018	participate will be implied by beginning the survey.
1019	Q3 Name:
1020	Q4 Email:
1021	Q5 Organization name:
1022	Q6 Department:
1023	Q7 Job title:
1024	Q8 Organization size:
1025	Small (1 to 99 employees)
1026	Medium (100 to 499 employees)
1027	Large (500 employees or more)
1028	O Unsure
1029	Q9 Age of organization:
1030	C Less than 10 years
1031	○ 10 to 20 years







1032	O 21 to 30 years
1033	O Greater than 30 years
1034	O Unsure
1035	Q10 Please select the term that best describes your organization as a stakeholder:
1036	O Health research funding agency
1037	Research organization
1038	O Research user
1039	Other
1040	Display This Question:
1041	If Please select the term that best describes your organization as a stakeholder: = Health research
1042	funding agency
1043	Q11 Please select the type of health research funding agency:
1044	Not for profit
1045	O Charity
1046	Ogovernment







1047	OIndustry
1048	Other
1049	Display This Question:
1050	If Please select the term that best describes your organization as a stakeholder: = Research
1051	organization
1052	Q12 Please select the type of research organization:
1053	Ouniversity
1054	O University-affiliated research institute
1055	O Government
1056	Non-governmental organization
1057	Other
1058	Display This Question:
1059	If Please select the term that best describes your organization as a stakeholder: = Research user
1060	Q13 Please select the type of research user:
1061	O Government
1062	○ Journal







1063 Other 1064 Q14 Theme 1 - Governance/stewardship including vision, priority setting, ethics and 1065 monitoring/evaluation 1066 Pang et al. (Pang, et al., 2003) define governance/stewardship as being concerned with 1067 oversight of the entire health research system. It is primarily the responsibility of government, 1068 but others may have responsibility including national health research councils and professional 1069 associations. It includes four components: "definition and articulation of a vision for a national 1070 health research system; identification of appropriate health research priorities and coordination 1071 of adherence to them; setting and monitoring of ethical standards for health research and 1072 research partnerships; and monitoring and evaluation of the health research system itself. 1073 Q15 With this definition in mind, please briefly list any strategies your organization implemented 1074 that address this theme (e.g., development of a plan with indicators to evaluate implementation 1075 of your organizations' funded research) or provide links to any relevant material on your website 1076 describing these strategies or send them to Robyn.Beckett@unityhealth.to. Alternatively, feel 1077 free to contact us to set up a brief phone call to provide this information. We anticipate that







1078	some of the strategies might be at the initial implementation phases while others may be in
1079	implementation planning stages.
1080	Q16 Have the strategies been modified to support pandemic recovery?
1081	O Yes
1082	○ No
1083	Display This Question:
1084	If Have the strategies been modified to support pandemic recovery? = Yes
1085	Q17 If yes, how has your organization modified the strategies? Alternatively, feel free to send
1086	relevant links/information to Robyn.Beckett@unityhealth.to or contact us to set up a brief phone
1087	call to provide this information.







### Q18 Theme 2 - Financing

According to Pang et al. (Pang, et al., 2003) financing of health research systems refers to "securing of research funds and allocating these accountably" and subsequently monitoring these funds and allocation. This process should be efficient, transparent, and peer-review-based.

Q19 With this definition in mind, please briefly list any strategies your organization implemented that address this theme (e.g., allocating research funds to COVID-related research) or provide links to any relevant material on your website describing these strategies or send them to Robyn.Beckett@unityhealth.to. Alternatively, feel free to contact us to set up a brief call to







1097 provide this information. We anticipate that some of the strategies might be at the initial 1098 implementation phases while others may be in implementation planning stages. 1099 Q20 Have the strategies been modified to support pandemic recovery? O Yes 1100 1101 No 1102 Display This Question: 1103 If Have the strategies been modified to support pandemic recovery? = Yes 1104 Q21 If yes, how has your organization modified the strategies? Alternatively, feel free to send 1105 relevant links/information to Robyn.Beckett@unityhealth.to or contact us to set up a brief phone 1106 call to provide this information. 1107 Q22 Theme 3 - Capacity building 1108 Capacity building can encompass capacity to conduct (including supporting the life cycle of the researcher), receive and use research. Pang et al. (Pang, et al., 2003) define this as bringing 1109 1110 new researchers and institutions into the health research system, further developing and 1111 sustaining the existent human and physical capacity, maintaining good physical facilities to 1112 conduct research, an attractive career structure, good research management, availability of 1113 funding, opportunities to present and discuss data, rapid access to current research information, 1114 and addressing internal or external researcher migration and "brain drain." 1115 Q23 With this definition in mind, please briefly list any strategies your organization implemented 1116 that address this theme (e.g., offering research staff trainings on novel research methods) or 1117 provide links to any relevant material on your website describing these strategies or send them







1118 to Robyn.Beckett@unityhealth.to. Alternatively, feel free to contact us to set up a brief call to 1119 provide this information. We anticipate that some of the strategies might be at the initial 1120 implementation phases while others may be in implementation planning stages. 1121 Q24 Have the strategies been modified to support pandemic recovery? 1122 ) Yes 1123 Nο 1124 Display This Question: 1125 If Have the strategies been modified to support pandemic recovery? = Yes 1126 Q25 If yes, how has your organization modified the strategies? Alternatively, feel free to send 1127 relevant links/information to Robyn.Beckett@unityhealth.to or contact us to set up a brief phone 1128 call to provide this information. 1129 Q26 Theme 4 - Producing and using research to improve health and strengthen the public, 1130 social and health care systems 1131 Pang et al. (Pang, et al., 2003) define this as "producing scientifically valid research outputs, 1132 translating and communicating research to inform health policy, strategies, and practices, and 1133 public opinion, and promoting the use of research to develop new tools (drugs, vaccines, 1134 devices and other applications) to improve health." 1135 Q27 With this definition in mind, please briefly list any strategies your organization implemented 1136 that address this theme (e.g., holding knowledge exchange events with researchers and policy-1137 makers who may use the research) or provide links to any relevant material on your website

describing these strategies or send them to Robyn. Beckett@unityhealth.to. Alternatively, feel







1139 free to contact us to set up a brief call to provide this information. We anticipate that some of the 1140 strategies might be at the initial implementation phases while others may be in implementation 1141 planning stages. 1142 Q28 Have the strategies been modified to support pandemic recovery? 1143 O Yes 1144 No 1145 Display This Question: 1146 If Have the strategies been modified to support pandemic recovery? = Yes 1147 Q29 If yes, how has your organization modified the strategies? Alternatively, feel free to send 1148 relevant links/information to Robyn.Beckett@unityhealth.to or contact us to set up a brief phone 1149 call to provide this information. 1150 Q30 Competing interests Competing interests can take several forms including financial, 1151 business/professional, intellectual, affiliations or memberships in associations, research funding, 1152 payments, gifts, gratuities, honoraria, advocacy, consulting, and others. 1153 Q31 Are you involved in any activities that could create real, potential, or apparent conflicts of 1154 interest that could affect your responses in this survey or in the upcoming session (if attending)?







1155	Please note that for transparency, your name along with your competing interests will be shared
1156	with session participants before the session.
1157	○ Yes
1158	○ No
1159	Display This Question:
1160	If Are you involved in any activities that could create real, potential, or apparent conflicts of in = Yes
1161	Q32 If yes, please describe
1162	Survey 2
1163	Q1 Background
1164	The Royal Society of Canada Working Group on Health Research System Recovery, along with
1165	the Canadian Institutes of Health Research (CIHR) held a series of sessions that were part of
1166	an international knowledge exchange initiative. Given the impact of the pandemic on health
1167	research systems worldwide, the purpose of this initiative was to develop actionable
1168	recommendations to strengthen Canada's health research system post-pandemic.
1169	The World Health Organization (WHO) defines a health research system as "the people,
1170	institutions, and activities whose primary purpose in relation to research is to generate high-
1171	quality knowledge that can be used to promote, restore, and/or maintain the health status of
1172	populations; it should include the mechanisms adopted to encourage the utilization of research"
1173	(Pang, et al., 2003).
1174	The WHO Health Research Ecosystem Framework includes four components:







1175 1. Governance/stewardship, which includes coordination, priority setting, ethics, monitoring, 1176 evaluation and accountability 1177 2. Financing, which includes securing and allocating funding with accountability and 1178 transparency 1179 3. Capacity building, which includes human and physical capacity to conduct, absorb and 1180 use health research 1181 4. Producing and using research, which includes producing, promoting, disseminating and 1182 implementing research in practice, policy and public opinion 1183 To develop these recommendations, we held sessions with national and international leaders 1184 from health research funding agencies; health, public health, and social care policy makers; 1185 leaders from research institutes; individual researchers; and members of the public. We also 1186 contextualized the recommendations using input from the recently released report of the 1187 Advisory Panel on the Federal Research Support System. 1188 Through this survey, we are requesting your feedback on these recommendations, which will 1189 then be disseminated broadly to all relevant organisations (e.g. funders, government, research 1190 organisations). 1191 This survey will take 15-20 minutes to complete. 1192 Q2 Terms of consent 1193 Before beginning the survey, please review the terms of consent. If you have any questions 1194 please contact Robyn Beckett at Robyn.Beckett@unityhealth.to. 1195 Your participation in this survey is voluntary. 1196 You can choose to stop completing the survey at any time, though any responses up to

that point may be used, as outlined below.







- No one other than the research team will know what you responded.
  - Your responses will be compiled by relevant members of the <u>Knowledge</u>
     <u>Translation Program</u>, Unity Health Toronto.
  - The results will help refine the recommendations. Results will be pooled and may be
    publically reported in products summarizing the results (e.g., reports, publications),
    without any personal information, though we may include your organization type (e.g., "a
    provincial health research organization"). De-identified quotes may be used in reports
    and publications.
  - There is minimal risk associated with participation in this study. Minor risks include: 1) participants may later regret sharing some responses in the survey, however, participants are free to contact the study team and withdraw their data prior to the data analysis stage; and 2) in the feedback section participants may disclose identifying information, however, all such identifying information will be changed in any written reports or oral presentations so that participants' privacy will be protected.
  - If you consent to the terms of the study you are welcome to begin. Your consent to
    participate will be implied by beginning the survey.







1214	Q3 Please select the term(s) that best describe your organization or you as a stakeholder
1215	(select all that apply):
1216	Health research funding agency
1217	Research organization
1218	Research user (e.g. member of the public or patient, government employee, journal
1219	staff, healthcare provider)
1220	Other
1221	Display This Question:
1222	If Please select the term(s) that best describe your organization or you as a stakeholder (select al =
1223	Health research funding agency
1224	Q4 Please select the type of health research funding agency (select all that apply):
1225	Not for profit
1226	Charity
1227	Government
1228	Industry
1229	Other







1230	Display This Question:
1231	If Please select the term(s) that best describe your organization or you as a stakeholder (select al =
1232	Research organization
1233	Q5 Please select the type of research organization (select all that apply):
1234	University
1235	University-affiliated research institute
1236	Government
1237	Non-governmental organization
1238	Other
1239	Display This Question:
1240	If Please select the term(s) that best describe your organization or you as a stakeholder (select al =
1241	Research user (e.g. member of the public or patient, government employee, journal staff, healthcare
1242	provider)
1243	Q6 Please select the type of research user (select all that apply):
1244	Government employee
1245	Journal staff
1246	Clinician/healthcare provider







1247	Health care manager
1248	Member of the public or patient
1249	Other
1250	Q7 The next several pages provide the recommendations organized by the four themes from
1251	the World Health Organization's health research systems framework (governance/stewardship,
1252	financing, capacity building, and producing and using research). Please rate each
1253	recommendation on the importance for implementation in Canada on a scale from 1 to 9, with
1254	being not at all important, 5 being moderately important, and 9 being extremely important.
1255	Please also provide any comments or suggestions for the recommendations in the open text
1256	boxes. These questions may be repetitive, but we appreciate your feedback across all the







recommendations. Note that you can click on the hyperlinked terms in some of the statements to obtain definitions/more information.

1259 Q8 Theme 1: governance/stewardship

1260 Q9 Coordinate research efforts across local, provincial, national, and international entities.

1261 Specifically:







1 2 3 5 7 8 9 Leverage and extend the use of the Canada Research Coordinating Committee (CRCC) across the TriCouncil Agencies and the Canada Foundation for Innovation (CFI) to provide research and innovation advice, oversight, coordination and communication across the TriCouncil Agencies. Please click on the organization/project names to learn more. Create a new entity, entitled the Canadian Knowledge and Science Foundation (CKSF) to rapidly address emerging research and innovation needs, deliver TriCouncil Agency programming, and enhance coordination, planning and policy capacity across the research support system (as suggested in the recent report of the Advisory Panel on the Federal Research Support System)







Establish a health research funding agency in each province/territory through which the provincial/territorial health ministry and public health agency can connect on research priorities and evidence needs Establish a structure whereby the Public Health Agency of Canada and Health Canada connect with provincial/territorial health research agencies and provincial/territorial ministries responsible for health and public health on research priorities and evidence needs







1263 Q10 Please provide any comments or suggestions for the above set of recommendations.

1264 Q11 Theme 1: Governance/stewardship

1265 Q12 Create communications infrastructure. Specifically:









	1	2	3	4	5	6	7	8	9
Through regular and									
frequent meetings, promote									
coordination and									
communication between									
the Canadian Institutes of				$\bigcirc$					$\bigcirc$
Health Research (CIHR)									
and Health Canada to									
facilitate research conduct,									
use and funding					•				
Through regular meetings,									
promote coordination and									
communication between							_		
federal and									
provincial/territorial funders									
and relevant federal and									
provincial policy makers	0	0	0		0			$\bigcirc$	$\bigcirc$
(e.g. the National Alliance									
of Provincial Health									
Research Organizations									
[NAPHRO]) to align and									
facilitate research conduct									
and use									







Develop centralized								
processes to communicate								
and coordinate research								
priorities with knowledge								
users (such as researchers,								
academic institutions,								
charitable organisations,								
and patient/public								
organisations including								
equity-deserving groups)								
Establish a communication								
platform to outline what								
research								
questions/priorities different								
funders (e.g.								
provincial/territorial,		0	O		$\circ$	$\bigcirc$	$\bigcirc$	$\circ$
TriCouncil Agencies) are								
responsible for to limit								
duplication and support								
replication								
Encourage the use of								
communication platforms								
between TriCouncil								
Agencies and international								
funding agencies to align								
research priorities in								
pandemics/health	0	0	0	0	0	0	0	0
emergencies to coordinate								
research (e.g. Global								
Research Collaboration for								
Infectious Disease								
Preparedness [GloPID-R])								

LDC Instituts	an Institutes of Research s de recherche é du Canada	Michael Smith Health Research BC			ST. MICHAEL'S UNITY HEALTH TORONTO						
Develop principles of											
transparent communication											
around what research is											
funded											
Develop and publicly report											
data on research impact											
and overall impact of											
research funding											

1267 Q13 Please provide any comments or suggestions for the above set of recommendations.







1268 Q14 Theme 1: Governance/stewardship

1269 Q15 Outline research logistics as a part of emergency preparedness. Specifically:









	1	2	3	4	5	6	7	8	9
Create an emergency									
preparedness research plan									
that includes data sharing,									
cybersecurity, REB									
approvals, and supply chain									
procedures									
Ensure the emergency									
preparedness research plan									
includes the coordination of									
research questions with									
international research									
funders to prioritise based									
on capacity, expertise,									
infrastructure									
Develop data sharing									
agreements within and									
across provinces/territories									
and between									
provinces/territories and									
national partners (e.g.	Ó	0	0						$\bigcirc$
Canadian Institute for									
Health Information [CIHI])									
for sharing data including									
health research, health									
services, and registry data									
Centralise and harmonise									
research ethics board									
(REB) processes nationally									
with a single online form,			$\cup$						
standardized training, and									
tracking of timelines									







Prioritise REB for relevant					
studies during health/public					$\subset$
health emergencies					
Harmonise processes					
(including data collection,					
privacy, REB) for biobanks					
and data sharing within	$\bigcirc$		0		$\subset$
provinces/territories and					
across provinces/territories					
to facilitate rapid research					







- 1271 Q16 Please provide any comments or suggestions for the above set of recommendations.
- 1272 Q17 Theme 1: Governance/stewardship
- 1273 Q18 Prioritize equity in research processes. Specifically:

	1	2	3	4	5	6	7	8	9
Use equity and anti-									
oppression principles in all									
governance decision									
making and actions aligned									
with the TriCouncil	$\bigcirc$	$\bigcirc$	0		0		0	$\bigcirc$	$\bigcirc$
Agency's Dimensions									
Charter and the rights of									
First Nations, Inuit and									
Métis Peoples									
Include patients/public at all									
governance levels in the									
health research ecosystem									
using an open, transparent	0	0	0						$\bigcirc$
recruitment process and									
publicly report on their									
inclusion									
Sustain Indigenous-led			$\bigcirc$						
biobanks and data sharing									
Create national database of									
ongoing studies for patients									
to engage with as research	$\bigcirc$	$\bigcirc$			$\bigcirc$			$\bigcirc$	$\bigcirc$
partners and research leads									
partitiers and research leads									







- 1275 Q19 Please provide any comments or suggestions for the above set of recommendations.
- 1276 Q20 Theme 1: Governance/stewardship
- 1277 Q21 Facilitate streamlined, inclusive grant review process. Specifically:









	1	2	3	4	5	6	7	8	9
Monitor grant application and									
success rates by applicants'									
PROGRESS PLUS factors (an									
acronym used to identify	0			$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$		
characteristics that stratify health									
opportunities and outcomes) and									
report on this information publicly									
Ensure equity and diversity									
principles are embedded within all									
grant applications, including the					. ()				
consideration that research team									
and patient partners should reflect									
the diversity of relevant population									
Reimburse peer reviewers for any									
caregiving support required for									
their dependents, to enable the									
peer reviewers to attend meetings									
Mandate that principal									
investigators with peer-reviewed									
grants must participate in grant			0		$\bigcirc$	$\bigcirc$	$\bigcirc$		
peer review for the duration of									
their grant support									
Use the grant application and									
success data to revise grant									
competitions (e.g. to prioritise									
specific research areas,	0	$\bigcirc$							
researchers from equity-deserving									
groups, etc.)									







Standardise virtual and hybrid processes for grant peer review	0	$\bigcirc$	$\bigcirc$	$\circ$	0	0	$\circ$	$\circ$	0
Include patients in grant peer review process	0	0	0	0	0	0	0	0	0
Use Public Health Agency of Canada's Strengthening the Integration of Intersectionality Theory in Health Inequality	0	0	0		0		0	0	0
Checklist when reviewing grants to assess if and how they adhere to equity considerations									
Create multidisciplinary grant review panels and provide peer review training for multidisciplinary research	0	0		0	0	0	0	0	0
Create iterative grant peer review process with opportunity for interviews with applicants and peer review panel to clarify questions/responses		0	0	0	0	0	0	0	0
Streamline the grants application process for patients such as reducing requirements for patients to complete letters of support, CVs and sex- and gender-based analysis plus (GBA+) modules (e.g. Women's College Research Institute's [WCRI] GBA+)	0	0	0	0	0	0	0	0	0







Conduct peer review of the grant peer review process (including peer reviewers) and make results of this peer review transparent









1279 Q22 Please provide any comments or suggestions for the above set of recommendations.

1280 Q23 Theme 2: financing

1281 Q24 Reimagine funding of research. Specifically:









	1	2	3	4	5	6	7	8	9
Embed equity considerations in decision making on all research investments	0	0	0	0	0	0	0	0	0
Ensure long-term funding for successful research enterprises and develop strategies for defunding initiatives that aren't achieving relevant impact	0	0	0	0	0	0	0	0	0
Assess extent to which research infrastructure and research infrastructure funding exists for therapeutics, vaccine, diagnostic testing development and implementation	0	0	0	0	0	0	0	0	0
Establish funding for provincial/territorial health research hubs with linkages to health, public health, social care, education and cross-disciplinary collaborations (e.g. National Institute for Health and Care Research (NIHR) Clinical Research									
Networks, Applied Research Collaborations, National Health and Medical Research Council (NHMRC) Translational Research Centres, National Institutes of Health (NIH) Implementation/translation Research Centres)		0	0	0	0	0	0	0	0
Develop strategies for sustainability of networks/platforms/hubs through partnerships with national/provincial/territorial funders	0	0	0	0	0	0	0	0	0







Increase overhead/indirect cost allowance									
(cost of doing research including space,									
human resources, contracts support) for	0		$\bigcirc$	$\bigcirc$	$\bigcirc$				
research institutes to 50% to completely									
cover cost of research operations									
Engage with industry to contribute to									
funding for health research	0	$\bigcirc$	$\bigcirc$	0	0	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\subset$
hubs/networks/platforms									
Work with industry to provide unrestricted									
funds for project research grants and	0		0	$\bigcirc$	0	0	$\bigcirc$	$\bigcirc$	
infrastructure grants									
mmaon aotaro granto									
Make research funding allocations									
Make research funding allocations	0	0	0	0	0	0		0	
Make research funding allocations transparent, and report on the distribution		0	0	0	0	0	0	0	C
Make research funding allocations transparent, and report on the distribution of funding by applicants' PROGRESS		0	0	0	0	0	0	0	C
Make research funding allocations transparent, and report on the distribution of funding by applicants' PROGRESS PLUS factors (e.g., gender, race)		0		0		0	0	0	C
Make research funding allocations transparent, and report on the distribution of funding by applicants' PROGRESS PLUS factors (e.g., gender, race)  Make a clear separation between	0	0	0	0	0	0	0	0	
Make research funding allocations transparent, and report on the distribution of funding by applicants' PROGRESS PLUS factors (e.g., gender, race)  Make a clear separation between knowledge user-driven versus researcher-	0	0	0	0		0		0	
Make research funding allocations transparent, and report on the distribution of funding by applicants' PROGRESS PLUS factors (e.g., gender, race)  Make a clear separation between knowledge user-driven versus researcher- driven research; have separate funding for both groups	0	0	0	0		0		0	
Make research funding allocations transparent, and report on the distribution of funding by applicants' PROGRESS PLUS factors (e.g., gender, race)  Make a clear separation between knowledge user-driven versus researcher- driven research; have separate funding for	0	0	0	0		0		0	







1283 Q25 Please provide any comments or suggestions for the above set of recommendations.

1284 Q26 Theme 2: financing

## 1285 Q27 Support researchers. Specifically:

	1	2	3	4	5	6	7	8	9
Develop collaborative grants across the TriCouncil Agencies that support cross-disciplinary training of PhD students and post-doctoral fellows	0	0	0	0	0	0	0	0	0
Create salary support pathways for scientists (such as salary support awards or embedding salary into grants) throughout their career trajectories from early career to midand senior-career	0		0	0		0	0	0	0
Create similar salary support pathways for clinician scientists throughout their career trajectories from early career to mid- and senior-career		0	0	0	0	0	0	0	0
Expand joint industry/academic and PhD/post-doctoral training opportunities	0	0	0	0	$\circ$	0	$\circ$	0	0
Expand joint government/academic training opportunities for PhD/post-doctoral trainees	0	0	0	0	0	0	0	0	0
Establish and maintain living wage standards for salaries for graduate students and post-doctoral fellows	0	0	0	0	0	0	0	0	0















1287 Q28 Please provide any comments or suggestions for the above set of recommendations.

1288 Q29 Theme 3: capacity building









	1	2	3	4	5	6	7	8	9
Embed equity, diversity, and anti-racism									
principles in all research capacity building									$\bigcirc$
activities									
Create opportunities for Postdoctoral									
Fellowships across academia, industry and		$\bigcirc$		0					
government sectors									
Create opportunities for Postdoctoral									
Fellows to work in more than 1 sector									
(academic, industry or government) during									
a fellowship									
Use provincial/territorial/national data to									
estimate needs for the health human									
workforce and the research workforce using									
dynamic modeling (e.g. align training									
opportunities while monitoring for									
burnout/lack of retention)									
Create transdisciplinary research training									
networks for graduate students, fellows and									
early career researchers to facilitate									
research and research training in diverse	0		$\bigcirc$	$\bigcirc$		$\bigcirc$			
methods and disciplines across all research									
pillars (e.g. implementation science, one									
health, artificial intelligence [AI])									
Create support strategies for those									
disproportionately affected systematically									
and during the pandemic such as Black,	0		$\bigcirc$			$\bigcirc$	$\bigcirc$		$\bigcirc$
Indigenous, and people of colour, women,									
non-binary people, and clinician scientists									
	1								







Provide training for researchers on trauma-									
informed approaches to patient									
engagement; that is, approaches rooted in									
an understanding of how trauma impacts									
people, with the purpose of avoiding									
potential re-traumatization during the		$\bigcirc$		$\bigcirc$		$\bigcirc$		$\bigcirc$	$\subset$
research process. These approaches also									
acknowledge broader social contexts and									
how systems of oppression (e.g.									
colonialism, white supremacy) cause									
trauma.									
Ensure patient engagement in research uses trauma-informed approaches	0	0	0	0	0	0	0	$\circ$	С
Provide training for researchers, patient									
partners, and policy makers on anti-									
oppression practices in research; that is,									
practices that name and seek to dismantle									
injustices and power imbalances in the									
broader social context and in the research	10	0	0	$\bigcirc$	$\bigcirc$	$\bigcirc$		$\bigcirc$	$\subset$
processes (e.g. asking community									
members most impacted by the research to									
lead key research activities such as									
developing the project plan and the									
budget).									
Provide training for researchers, patients,									
and policy makers on knowledge	0	$\bigcirc$	$\subset$						
mobilization									

Q31 Please provide any comments or suggestions for the above set of recommendations.







- 1291 Q32 Theme 4: producing and using research
- 1292 Q33 Producing research









2 3 7 1 5 8 9 Create a network of chief science advisors in each national/provincial/territorial government department Create opportunities for these advisors via knowledge exchange with researchers across Canada These advisors can interpret and communicate science to policy makers including communication of evidence uncertainty Create funding opportunities such as partnership grants between researchers and knowledge users to focus on research uptake Further develop multidisciplinary, collaborative grants across the TriCouncil Agencies (leveraging New Frontiers in Research Fund) that support collaborations across research disciplines including those that range from developing the team/research project through to completion of research and its dissemination Create health research hubs within provinces/territories to link health care delivery context with research across all Canadian Institutes of Health Research (CIHR) pillars; include patient/public engagement and commercialization

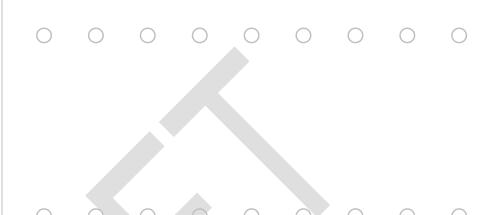






Create a national network of the provincial/territorial health research hubs and identify focused areas (e.g. methodological, clinical) that each may lead/co-lead nationally (for example, in areas such as pandemic preparedness, healthy aging, climate change, one health, health/social disparities, artificial intelligence (AI) innovation, patient/public engagement, implementation science/knowledge mobilization)

Embed clinical trials platform(s) within health care delivery/health research hubs









1294 Q34 Please provide any comments or suggestions for the above set of recommendations.

Q35 Theme 4: producing and using research

## Q36 Using research

1295

	1	2	3	4	5	6	7	8	9
Enhance science to policy dissemination									
training for researchers (e.g. in plain									
language communication) through	$\bigcirc$	$\bigcirc$	9		0	0	$\bigcirc$	$\bigcirc$	$\bigcirc$
various approaches including modules									
and embedded training opportunities									
Enhance science to policy dissemination									
training for policy makers (e.g. in plain									
language communication) through	0	0	0	0	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$
various approaches including modules									
and embedded training opportunities									
Co-create and deliver public education									
strategies for increasing health research		0		$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$
literacy with members of the public									
Co-create and deliver training for	0			$\bigcirc$	$\bigcirc$		$\bigcirc$	$\bigcirc$	
patients on engaging in research									
Co-create and deliver training for									
patients on interacting with policy		$\bigcirc$	$\circ$	$\circ$	$\circ$		$\bigcirc$	$\bigcirc$	
makers									
Create communication/knowledge									
exchange opportunities across funded					$\bigcirc$				
networks/platforms/hubs									
notherio, patiento, nase									







1298 Q37 Please provide any comments or suggestions for the above set of recommendations. 1299 Q38 Is there anything not captured in this list of recommendations that should be added? **Survey 3** 1300 1301 Q1 Background 1302 Thank you for your interest in the international knowledge exchange initiative led by the Royal 1303 Society of Canada Working Group on Health Research System Recovery, and in partnership 1304 with the Canadian Institutes of Health Research (CIHR). We held sessions with leaders from 1305 health research funding agencies; health, public health, and social care policy makers; leaders 1306 from research institutes; individual researchers; and members of the public to develop a set of 1307 actionable recommendations to strengthen Canada's health research system post-pandemic. 1308 After receiving public input, we developed and refined these recommendations. The updated 1309 version of the recommendations is provided in this survey. 1310 1311 There are reviews underway at the national and provincial/territorial levels into the COVID-19 1312 research response and this work will feed into and benefit those reviews. The responses from 1313 this survey will be used to select one priority example across each category and present this to 1314 a wide range of executive decision-makers as a priority focus. We will be preparing a document 1315 with the list of recommendations and a description of some specific examples of what could be 1316 implemented and by whom. This document will be shared by the Canadian Institutes of Health 1317 Research (CIHR) and the Royal Society of Canada with relevant partners. 1318 1319 In this survey, there are 12 recommendations; each is followed by some specific examples of 1320 how these recommendations could be implemented and by whom. For each recommendation, 1321 we ask that you let us know which specific examples you think should be prioritized for



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implementation. For each of your selections, we ask you to specify who should be responsible for implementing it. We also invite you to provide any final suggestions to the wording of the 12 recommendations and examples. This survey may take 15-20 minutes to complete, you may come back and resume the survey using the initial link. Q2 Terms of consent Before beginning the survey, please review the terms of consent. If you have any questions please contact Robyn Beckett at Robyn.Beckett@unityhealth.to. Your participation in this survey is voluntary. You can choose to stop completing the survey at any time, though any responses up to that point may be used, as outlined below. No one other than the research team will know what you responded. Your responses will be compiled by relevant members of the Knowledge Translation Program, Unity Health Toronto. Results will be pooled and may be publically reported in products summarizing the results (e.g., reports, publications), without any personal information, though we may include your organization type (e.g., "a provincial health research organization"). Deidentified quotes may be used in reports and publications. There is minimal risk associated with participation in this study. Minor risks include: 1) participants may later regret sharing some responses in the survey, however, participants are free to contact the study team and withdraw their data prior to the data analysis stage; and 2) in the feedback section participants may disclose identifying







1345	information, however, all such identifying information will be changed in any written
1346	reports or oral presentations so that participants' privacy will be protected.
1347	If you consent to the terms of the study you are welcome to begin. Your consent to
1348	participate will be implied by beginning the survey.
1349	Q3 Organization name (if applicable):
1350	Q4 Department (if applicable):
1351	Q5 Job title (if applicable)
1352	Q6 Please select the term(s) that best describe your organization or you as a stakeholder (if
1353	applicable) (select all that apply):
1354	Health research funding agency (includes foundations that fund health research [e.g.
1355	Health Research BC])
1356	Government (e.g. Ministry of Health)
1357	Research organization
1358	Research user (e.g. member of the public or patient, government employee, journal
1359	staff, healthcare provider)
1360	Other
1361	Display This Question:







1362	If Please select the term(s) that best describe your organization or you as a stakeholder (if applic =
1363	Health research funding agency (includes foundations that fund health research [e.g. Health Research
1364	BC])
1365	Q7 Please select the type of health research funding agency (if applicable) (select all that
1366	apply):
1367	Not for profit
1368	Charity
1369	Government
1370	Industry
1371	Other
1372	Display This Question:
1373	If Please select the term(s) that best describe your organization or you as a stakeholder (if applic =
1374	Research organization
1375	Q8 Please select the type of research organization (if applicable) (select all that apply):
1376	University
1377	University-affiliated research institute
1378	Government
1379	Non-governmental organization







1380	Other
1381	Display This Question:
1382	If Please select the term(s) that best describe your organization or you as a stakeholder (if applic =
1383	Research user (e.g. member of the public or patient, government employee, journal staff, healthcare
1384	provider)
1385	Q9 Please select the type of research user (if applicable) (select all that apply):
1386	Government employee
1387	Journal staff
1388	Clinician/healthcare provider
1389	Health care manager
1390	Member of the public or patient
1391	Other
1392	
1393	Q10 The next several pages provide the recommendations organized by the four themes from
1394	the World Health Organization's health research systems framework (governance/stewardship,
1395	financing, capacity building, and producing and using research). There are 12
1396	recommendations, each of which is followed by a number of specific examples on how these
1397	recommendations could be implemented. Please select the example that represents your
1398	highest priority as an implementation focus for each of the 12 recommendations. Next, please







indicate who should be responsible for implementing it such as Health Canada, the Canadian

Institute of Health Research (CIHR), the provincial/territorial governments, universities, research
institutes, etc. Note that you can click on the hyperlinked terms in some of the statements to
obtain definitions/more information.

Q11 Theme 1: governance/stewardship

Q12 Recommendation 1: Outline research logistics as a part of emergency preparedness.

Please select your prioritized example for implementing the above recommendation from the
following list:

a. Create an emergency preparedness research plan that includes data sharing (across
provinces/territories and national partners), cybersecurity, research ethics boards (REB)







1409 approvals, protocols for key studies on health emergencies that are pre-cleared by REB, 1410 and supply chain procedures. 1411 b. Develop an emergency preparedness plan that coordinates research questions with 1412 international research funders to prioritize based on capacity, expertise, infrastructure, and 1413 ensure the emergency preparedness plan is fully integrated with existing research policies. 1414 c. Centralise, standardise and harmonise REB processes nationally with a single online 1415 form and centralized intake with reviews distributed to regional REBs according to their wait 1416 times, standardized training, and tracking of timelines. d. Prioritise REB for relevant studies during health/public health emergencies. 1417 1418 maintaining an equity focus in the research. e. Harmonise processes (including data collection, privacy, REB, searchable database) 1419 1420 for biobanks (a repository of biological samples that are used in research) and data sharing 1421 (the dissemination and exchange of data/research findings for the purpose of further







1422	research) within provinces/territories and across provinces/territories to facilitate rapid
1423	research.
1424	
1425	Q13 Who do you think should implement your prioritized example? (select all that apply)
1426	Health Canada
1427	Public Health Agency Of Canada (PHAC)
1428	Canadian Institutes of Health Research (CIHR)
1429	Provincial/territorial governments
1430	Research institutes
1431	Provincial/territorial funding agencies
1432	Universities
1433	Research charities
1434	Patients/public
1435	Health care professional organisations
1436	Other
1437	







1438	Q14 Please provide any comments or suggestions for the above recommendation:
1439	Q15 Recommendation 2: Prioritize equity and inclusion in research processes.
1440	Please select your prioritized example for implementing the above recommendation from the
1441	following list:
1442	a. Use equity and anti-oppression principles in all governance decision making and
1443	actions aligned with the Tri-Council Agency's Dimensions Charter and the rights of First
1444	Nations, Inuit and Métis Peoples.
1445	b. Include patients/public at all governance levels in the health research ecosystem
1446	using an open, transparent recruitment process and publicly report on their inclusion.
1447	C. Create national database of ongoing studies for patients to engage with as research
1448	partners and research leads.
1449	
1450	Q16 Who do you think should implement your prioritized example? (select all that apply)
1451	Health Canada
1452	Public Health Agency Of Canada (PHAC)
1453	Canadian Institutes of Health Research (CIHR)
1454	Provincial/territorial governments
1455	Research institutes







1456	Provincial/territorial funding agencies
1457	Universities
1458	Research charities
1459	Patients/public
1460	Health care professional organisations
1461	Other
1462	
1463	Q17 Please provide any comments or suggestions for the above recommendation:
1464	Q18 Recommendation 3: Facilitate streamlined, inclusive and rigorous application and grant
1465	review process.
1466	Please select your prioritized example for implementing the above recommendation from the
1467	following list:
1468	a. Monitor grant application and success rates by applicants' PROGRESS PLUS factors
1469	(an acronym used to identify characteristics that stratify health opportunities and outcomes)
1470	and sex- and gender-based analysis plus (GBA+), report on this information publicly and



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use it to inform and revise grant competitions (e.g., prioritise specific research areas, researchers who are experiencing structural barriers, etc).

b. Ensure equity and diversity principles are embedded within all requests for proposals and grant applications, including the consideration that research team and patient partners







1475	should reflect the diversity of relevant population and that study team and participant
1476	demographics are reported to granting agencies to ensure they are reflective of population.
1477	O c. Reimburse peer reviewers for any caregiving support required for their dependents, to
1478	enable the peer reviewers to attend meetings (e.g. implemented at Canadian Institute of
1479	Health Research [CIHR]).
1480	Od. Ensure peer reviewers are trained in anti-oppression in research, equity and diversity
1481	principles.
1482	e. Mandate that principal investigators with peer-reviewed grants must participate in
1483	grant peer review for the duration of their grant support.
1484	f. Standardise virtual and hybrid processes for grant peer review.
1485	g. Include patients in grant peer review process.
1486	h. Use Public Health Agency of Canada's Strengthening the Integration of
1487	Intersectionality Theory in Health Inequality Checklist when reviewing grants to assess if
1488	and how research applicants adhere to equity considerations.
1489	i. Create multidisciplinary (e.g. Canadian Institutes of Health Research (CIHR) pillars 1
1490	to 4) grant review panels and provide peer review training for multidisciplinary research.
1491	i. Create iterative grant peer review process with opportunity for interviews with
1492	applicants and peer review panel to clarify questions/responses.







1493	<ul> <li>k. Streamline the grants application process for patients such as reducing requirements</li> </ul>
1494	for patients to complete letters of support, CVs and sex- and gender-based analysis plus
1495	(GBA+) modules, include patient-friendly instructions and modules.
1496	I. Conduct peer review of the grant peer review process (including peer reviewers) and
1497	make results of this peer review transparent.
1498	m. Ensure patients are adequately compensated to ensure they have capacity to
1499	participate as peer reviewers
1500	
1501	Q19 Who do you think should implement your prioritized example? (select all that apply)
1502	Health Canada
1503	Public Health Agency Of Canada (PHAC)
1504	Canadian Institutes of Health Research (CIHR)
1505	Provincial/territorial governments
1506	Research institutes
1507	Provincial/territorial funding agencies
1508	Universities
1509	Research charities







1510	Patients/public
1511	Health care professional organisations
1512	Other
1513	
1514	Q20 Please provide any comments or suggestions for the above recommendation:
1515	Q21 Recommendation 4: Create communications infrastructure.
1516	Please select your prioritized example for implementing the above recommendation from the
1517	following list:
1518	a. Develop centralized processes to communicate and coordinate research priorities with
1519	knowledge users (such as researchers, academic institutions, charitable organisations, and
1520	patient/public organisations including equity-deserving groups).
1521	b. Develop protocols and processes for rapid funding calls (e.g. ensuring they include
1522	focus on populations that may be at highest risk of health inequities).
1523	c. Encourage the use of communication platforms between Tri-Council Agencies and
1524	international funding agencies to facilitate alignment of research priorities in







pandemics/health emergencies to coordinate communication around research (e.g. Global Research Collaboration for Infectious Disease Preparedness [GloPID-R]).

O d. Develop principles of transparent communication around what research is funded, including publically reporting data on research impact and overall impact of research funding.







1531	Q22 Who do you think should implement your prioritized example? (select all that apply)
1532	Health Canada
1533	Public Health Agency Of Canada (PHAC)
1534	Canadian Institutes of Health Research (CIHR)
1535	Provincial/territorial governments
1536	Research institutes
1537	Provincial/territorial funding agencies
1538	Universities
1539	Research charities
1540	Patients/public
1541	Health care professional organisations
1542	Other
1543	







1544 Q23 Please provide any comments or suggestions for the above recommendation: 1545 Q24 Recommendation 5: Coordinate research efforts across local, provincial, national, and 1546 international entities. 1547 Please select your prioritized example for implementing the above recommendation from the 1548 following list: a. Leverage and extend the use of the Canada Research Coordinating Committee 1549 1550 (CRCC) across the Tri-Council Agencies and the Canada Foundation for Innovation (CFI) to provide research and innovation advice, oversight, democratic decision-making, and 1551 1552 coordination, prioritisation and communication across the Tri-Council Agencies and among 1553 international funding agencies. 1554 Membership of the CRCC should include national (e.g. Canadian Institutes of health 1555 Research [CIHR], Health Canada, Public Health Agency of Canada [PHAC]) and 1556 provincial/territorial funding agencies (e.g. National Alliance of Provincial Health Research Organisations [NAPHRO]) 1557 1558 This could be achieved, for example, through strategies to create better coordination, as 1559 suggested in the recent report of the Advisory Panel on the Federal Research Support 1560 System. This report suggested creating a new entity, entitled the Canadian Knowledge 1561 and Science Foundation (CKSF) to rapidly address emerging research and innovation







1562	needs, deliver Tri-Council Agency programming, and enhance coordination, planning
1563	and policy capacity across the research support system.
1564	O b. Establish a health research funding agency in each province/territory where these do
1565	not currently exist and through which the provincial/territorial health ministry and public
1566	health agency can connect on research priorities and evidence needs.
1567	
1568	Q25 Who do you think should implement your prioritized example? (select all that apply)
1569	Health Canada
1570	Public Health Agency Of Canada (PHAC)
1571	Canadian Institutes of Health Research (CIHR)
1572	Provincial/territorial governments
1573	Research institutes
1574	Provincial/territorial funding agencies
1575	Universities
1576	Research charities
1577	Patients/public







1578	Health care professional organisations
1579	Other
1580	
1581	Q26 Please provide any comments or suggestions for the above recommendation:
1582	Q27 Theme 2: financing
1583	Q28 Recommendation 6: Reimagine funding of research.
1584	Please select your prioritized example for implementing the above recommendation from the
1585	following list:
1586	a. Embed equity considerations in decision making on all research investments, such as
1587	making funding contingent on meaningful actions to address inequities.
1588	b. Ensure long-term funding for successful research enterprises and develop strategies
1589	that balance defunding low impact initiatives and exploratory research.
1590	c. Assess extent to which research infrastructure and research infrastructure funding
1591	exists for therapeutics, vaccine, diagnostic testing development and implementation and
1592	develop metrics for appropriate allocation.
1593	O d. Establish funding and sustainability strategies for provincial/territorial health research
1594	hubs with linkages to health, public health, social care, education and cross-disciplinary
1595	collaborations (e.g. National Institute for Health and Care Research (NIHR) Clinical
1506	Pasaarch Natworks, Applied Pasaarch Callaborations, National Health and Modical







1597	Research Council (NHMRC) Translational Research Centres, National Institutes of Health
1598	(NIH) Implementation/translation Research Centres).
1599	e. Increase overhead/indirect cost allowance (cost of doing research including space,
1600	human resources, contracts support) for research institutes to 50% to completely cover cost
1601	of research operations.
1602	f. Engage with industry to transparently contribute to funding and communications for
1603	health research authorities/hubs/networks/platforms.
1604	g. Work with industry to provide unrestricted funds for project research grants and
1605	infrastructure grants, with transparency protocols.
1606	h. Ensure separate funding for knowledge user-driven and researcher-driven research.
1607	i. Support mechanisms for crowdfunding research.
1608	j. Provide more support for researcher success (e.g., increase funding pool and/or
1609	support increased application quality).
1610	k. Increase access for non-academic organizations (e.g., local health authorities) to
1611	research funding pools.
1612	I. Include funding requirements and fund access to support patient involvement as
1613	research partners (e.g., honoraria, translation, childcare), while considering patients'
1614	circumstances (e.g., disability income, tax implications).







1616	Q29 Who do you think should implement your prioritized example? (select all that apply
1617	Health Canada
1618	Public Health Agency Of Canada (PHAC)
1619	Canadian Institutes of Health Research (CIHR)
1620	Provincial/territorial governments
1621	Research institutes
1622	Provincial/territorial funding agencies
1623	Universities
1624	Research charities
1625	Patients/public
1626	Health care professional organisations
1627	Other
1628	







1629 Q30 Please provide any comments or suggestions for the above recommendation: 1630 Q31 Theme 3: capacity building 1631 Q32 Recommendation 7: Invest in formative training opportunities rooted in equity, diversity, 1632 and anti-racism. 1633 Please select your prioritized example for implementing the above recommendation from the 1634 following list: 1635 a. Embed formative equity, diversity, and anti-racism components in all research capacity building activities. 1636 b. Provide training for researchers on trauma-informed approaches to patient 1637 1638 engagement; that is, approaches rooted in an understanding of how trauma impacts people, with the purpose of avoiding potential re-traumatization during the research process. These 1639 1640 approaches also acknowledge broader social contexts and how systems of oppression (e.g. 1641 colonialism, white supremacy) cause trauma. 1642 c. Provide training for researchers, patient partners, and policy makers on antioppression practices in research; that is, practices that name and seek to dismantle 1643 1644 injustices and power imbalances in the broader social context and in the research processes







1645	(e.g. asking community members most impacted by the research to lead key research
1646	activities such as developing the project plan and the budget).
1647	Od. Provide training for researchers, patients, and policy makers on patient engagement
1648	and knowledge mobilization.
1649	e. Establish a national standard for engaging patients as partners in research.
1650	
1651	Q33 Who do you think should implement your prioritized example? (select all that apply)
1652	Health Canada
1653	Public Health Agency Of Canada (PHAC)
1654	Canadian Institutes of Health Research (CIHR)
1655	Provincial/territorial governments
1656	Research institutes
1657	Provincial/territorial funding agencies
1658	Universities
1659	Research charities
1660	Patients/public







1661	Health care professional organisations
1662	Other
1663	







1664	Q34 Please provide any comments or suggestions for the above recommendation:
1665	Q35 Recommendation 8: Support researchers.
1666	Please select your prioritized example for implementing the above recommendation from the
1667	following list:
1668	a. Create support strategies for those disproportionately affected systematically and
1669	during the pandemic such as Black, Indigenous, and people of colour, women, non-binary
1670	people, and clinician scientists.
1671	b. Use provincial/territorial/national data to estimate needs for the health human
1672	workforce and the research workforce using dynamic modeling (e.g., align training
1673	opportunities while monitoring for burnout/lack of retention).
1674	c. Create salary support pathways for scientists/clinician scientists (such as salary
1675	support awards or embedding salary into grants) throughout their career trajectories from
1676	early career to mid- and senior-career.
1677	d. Provide sufficient funding for researchers for knowledge mobilization, including in
1678	communication, media and graphic design.
1679	
1680	Q36 Who do you think should implement your prioritized example? (select all that apply)
1681	Health Canada
1682	Public Health Agency Of Canada (PHAC)







1683	Canadian Institutes of Health Research (CIHR)
1684	Provincial/territorial governments
1685	Research institutes
1686	Provincial/territorial funding agencies
1687	Universities
1688	Research charities
1689	Patients/public
1690	Health care professional organisations
1691	Other
1692	







1693 Q37 Please provide any comments or suggestions for the above recommendation: 1694 Q38 Recommendation 9: Support early career researchers. 1695 Please select your prioritized example for implementing the above recommendation from the 1696 following list: a. Create opportunities for Postdoctoral Fellows to work in more than 1 sector 1697 1698 (academic, industry or government) during a fellowship. Ob. Create transdisciplinary research training networks for graduate students, fellows and 1699 1700 early career researchers to facilitate research and research training in diverse methods and





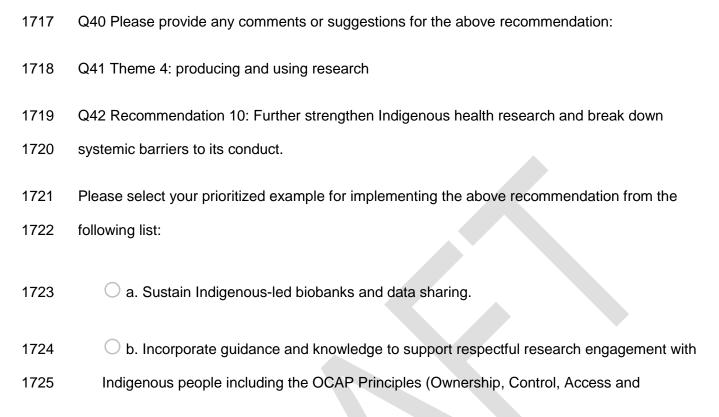


1701	disciplines across all research pillars (e.g., implementation science, One Health, artificial
1702	intelligence [AI]).
1703	
1704	Q39 Who do you think should implement your prioritized example? (select all that apply)
1705	Health Canada
1706	Public Health Agency Of Canada (PHAC)
1707	Canadian Institutes of Health Research (CIHR)
1708	Provincial/territorial governments
1709	Research institutes
1710	Provincial/territorial funding agencies
1711	Universities
1712	Research charities
1713	Patients/public
1714	Health care professional organisations
1715	Other
1716	















1726	Possession), the OCAS principles (Ownership, Control, Access and Stewardship) and Inuit
1727	Qaujimajatuqangit (Inuit traditional knowledge).
1728	c. Ensure barriers are removed for Indigenous knowledge holders to be principal
1729	applicants.
1730	
1731	Q43 Who do you think should implement your prioritized example? (select all that apply)
1732	Health Canada
1733	Public Health Agency Of Canada (PHAC)
1734	Canadian Institutes of Health Research (CIHR)
1735	Provincial/territorial governments
1736	Research institutes
1737	Provincial/territorial funding agencies
1738	Universities
1739	Research charities
1740	Patients/public
1741	Health care professional organisations







1742	Other
1743	
1744	Q44 Please provide any comments or suggestions for the above recommendation:
1745	Q45 Recommendation 11: Produce innovative research.
1746	Please select your prioritized example for implementing the above recommendation from the
1747	following list:
1748	a. Create partnership grants between researchers and knowledge users to focus on
1749	research uptake.
1750	b. Further develop multidisciplinary, collaborative grants across the Tri-Council Agencies
1751	(leveraging New Frontiers in Research Fund) that support collaborations across research
1752	disciplines including those that range from developing the team/research project through to
1753	completion of research and its dissemination.
1754	c. Create health research hubs within provinces/territories to link health care delivery
1755	context with research across all Canadian Institutes of Health Research (CIHR) pillars;
1756	include patient/public engagement and commercialization and facilitate a national network of
1757	these hubs (e.g. UK National Institute for Health and Care Research (NIHR) Applied







1758	Research Collaborations, National Health and Medical Research Council (NHMRC)
1759	Translational Research Centres).
1760	Od. Embed clinical trials platform(s) within health care delivery/health research hubs (e.g.
1761	National Institute for Health and Care Research [NIHR]).
1762	e. Have funding calls for research areas prioritized by patients/the public.
1763	
1764	Q46 Who do you think should implement your prioritized example? (select all that apply)
1765	Health Canada
1766	Public Health Agency Of Canada (PHAC)
1767	Canadian Institutes of Health Research (CIHR)
1768	Provincial/territorial governments
1769	Research institutes
1770	Provincial/territorial funding agencies
1771	Universities
1772	Research charities
1773	Patients/public







1774	Health care professional organisations
1775	Other
1776	
1777	Q47 Please provide any comments or suggestions for the above recommendation:
1778	Q48 Recommendation 12: Enhance research use across the health research ecosystem.
1779	Please select your prioritized example for implementing the above recommendation from the
1780	following list:
1781	a. Enhance science to policy dissemination training for researchers (e.g., in plain
1782	language communication) and policy makers through various approaches including
1783	modules and embedded training opportunities.
1784	b. Create a network of chief science advisors in each national/provincial/territorial
1785	government department. Create opportunities for these advisors via knowledge exchange







1786	with researchers across Canada. These advisors can interpret and communicate science to
1787	policy makers including communication of evidence uncertainty.
1788	C. Create knowledge exchange opportunities across funded networks/platforms/hubs.
1789	d. Co-create and deliver public education strategies for increasing health research
1790	literacy with members of the public.
1791	e. Co-create and deliver training for patients on engaging in research.
1792	f. Co-create and deliver training for patients on interacting with policy makers.
1793	
1794	Q49 Who do you think should implement your prioritized example? (select all that apply)
1795	Health Canada
1796	Public Health Agency Of Canada (PHAC)
1797	Canadian Institutes of Health Research (CIHR)
1798	Provincial/territorial governments
1799	Research institutes
1800	Provincial/territorial funding agencies
1801	Universities







1802	Research charities
1803	Patients/public
1804	Health care professional organisations
1805	Other
1806	
1807	Q50 Please provide any comments or suggestions for the above recommendation:







# **Appendix E. Results**

Results from each activity informed subsequent activities; as such, the findings from the sessions and surveys are presented sequentially.

### **Survey 1**

A total of 42 individuals completed survey 1. Not all questions were answered by all participants, because the survey used branching to guide participant responses, and participants were not required to answer all questions. Therefore, numbers may not sum to 42 within some categories. Participants represented 40 organizations, including research organizations (50%, n = 20), health research funding agencies (23%, n = 9), research user organizations such as journals (13%, n = 5), advocacy organizations (13%, n = 5), and research consultant organizations (3%, n = 1). Participants represented six countries, with the majority from Canada (81%, n = 34). Other participants were from the United Kingdom (7%, n = 3), the United States (5%, n = 2), Germany (2%, n = 1), New Zealand (2%, n = 1), and the Netherlands (2%, n = 1). Respondent organizations reported having modified their research processes in a number of ways in response to COVID-19. These approaches are summarized in Table 1, organized according to the four functions of the WHO health research system framework (Pang, et al., 2003).

Table 1. How organizations modified research processes during the COVID-19 pandemic

Function 1 –	Function 2 –	Function 3 –	Function 4 –
Governance/	Financing	Capacity building	Producing and using
stewardship			research







Incorporated	Increased funding	Developed	Held COVID-19
COVID-19 goals	for COVID-19	infrastructure and	knowledge
and priorities into	research	positions to support	mobilization (KM)
organizational plans	Increased	COVID-19 recovery	events and
Developed new	accessibility of	Supported staff and	developed KM
projects/programs	funding for	researchers	materials
to study impacts of	COVID-19	Modified capacity-	Supported
COVID-19	research (beyond	building programs	partnerships and
Created teams and	researchers)	Downsized non-	integrated KM
linkages, internal	Changed priorities	COVID-19 research	Modified event
and external to the	to focus research	to support COVID-	formats
organization	on COVID-19	19 efforts	Developed new
Implemented virtual	recovery and long-		data-sharing
processes (e.g.,	term impacts		methods
peer review)	Tracked COVID-19		Focused on equity,
Put new/ increased	research funding		diversity, inclusion,
focus on health	allocation/needs		and anti-racism in
equity			KM activities

# Knowledge exchange session 1

Leadership from health research funding agencies and health, public health, and social care policy- and decision-makers attended session 1. Ten individuals representing nine organizations participated in this session. The 10 participants represented organizations in Canada (70%, n = 7), the United Kingdom (10%, n = 1), Australia (10%, n = 1), and the United States (10%, n = 1). Most participants were leaders from health research funding agencies (70%, n = 7), two were







policy/decision-makers (20%), and one was a researcher (10%). All participants spoke English. Tables 2 to 5 summarize key themes related to what participants did, what they plan to do in the future, and what they wish they had done differently across each of the four functions of the WHO health research system framework (Pang, et al., 2003).

#### Table 2. Function 1: Governance/stewardship

Topic	Participant Responses
What participants	Focused on the public health response/prevention (e.g., vaccine)
did in this area	development and distribution), including funding COVID-19
	research, and research on future pandemics/natural disasters.
	Moved governance committee meetings to virtual platforms.
	Focused on a holistic approach related to comorbidity and multi-
	morbidity rather than COVID-19 specific funding.
	Developed projects across organizations (universities, clinical
	programs, provincial/territorial/federal funders), increasing
	coordination among researchers, clinicians and government.
What participants	Engage in more international research initiatives.
plan to do in the	Investigate long COVID.
future in this area	Continue virtual and hybrid models for meetings (internal,
	knowledge exchange events, conferences, peer review
	meetings, capacity building sessions).
	Maintain the responsive and flexible research administrative
	infrastructure developed during the pandemic.







wish they had done differently in this makers access to researchers.  Have a unified agenda for public and private funders, and increase funding transparency and coordination to minimize duplication while facilitating replication.	
Have a unified agenda for public and private funders, and increase funding transparency and coordination to minimize	
increase funding transparency and coordination to minimize	
duplication while facilitating replication.	
Have a strategic research advisory committee in all	
provinces/territories, with pan-Canadian linkages.	
Find efficiencies in research ethics and contract approval	
processes across regions/provinces/territories.	
Address gaps in infrastructure that emerged during the	
pandemic, particularly related to research capacity and clinical	
trial readiness.	
Improve clinical trial readiness through trial networks,	
centralized contracts, and centralized ethics processes.	
Enhance relationships among industry, government, and	
academia to optimize nimble research conduct.	
Enhance governance structure and create tighter linkages	
between federal and provincial/territorial research funders.	
Ensure interdisciplinary engagement including those from non-	
health disciplines (e.g., social sciences, education,	
environment).	







## 1837 <u>Table 3. Function 2: Financing</u>

Topic	Participant Responses
What participants	Highlighted the importance of research into underlying
did in this area	conditions and chronic non-communicable diseases.
	Facilitated continuity of funds/extended timelines) for
	researchers unable to work because of COVID-19 restrictions.
	Created extramural research networks (i.e., research that
	receives funding from sources other than universities) to enable
	scientific endeavour in particular areas (such as One Health).
	Supported research that led to interdisciplinary research teams
	across the country.
What participants	Develop better financing strategies for research.
wish they had done	Develop better salary support for researchers working in
differently in this	research institutions.
area	Pay more attention to supply chain issues in research.
	Have a greater balance between COVID-19 research and non-
	COVID-19 research.
	Create more funding opportunities for Indigenous researchers.
	Avoid duplication of funding for research projects.

## 1838 Table 4. Function 3: Capacity building

Topic	Participant Responses
What participants	Surveyed chief science officers and institutions about their
did in this area	research capacity and created a new funding program to invest
	in biocontainment laboratories in academic settings.







	Targeted capacity building for early- to mid-career researchers.
What participants plan to do in the future in this area	<ul> <li>Recruit more researchers into government sectors.</li> <li>Identify strategies to support the career progression of government scientists.</li> <li>Facilitate rapid data sharing among provinces/territories, and support infrastructure to facilitate such communication and data sharing beyond COVID-19–related research.</li> <li>Advocate for accessibility of national/provincial/territorial health data to facilitate research.</li> </ul>
What participants wish they had done differently in this area	<ul> <li>Enhance infrastructure for therapeutics development.</li> <li>Create linked, coordinated transdisciplinary networks in areas such as knowledge synthesis, vaccines, diagnostic testing, therapeutics, and implementation.</li> </ul>

## 1839 <u>Table 5. Function 4: Producing and using research</u>

Topic	Participant Responses
What participants	Worked closely with partners, from co-production of research to
did in this area	dissemination of the results to decision-makers.
	Used partnerships and a top-down approach to influence health
	service delivery through research.
What participants	Change priorities to focus on COVID-19 recovery and broader
plan to do in the	long-term impacts of the pandemic.
future in this area	Change the grant peer review process to reduce the delay
	between grant submission and funding decisions/distribution.







	<ul> <li>Avoid duplication and enhance collaboration through partnering on similar research agendas.</li> </ul>
What participants	Focus on coordinated evidence synthesis and dissemination,
wish they had done	including to inform priority setting for research.
differently in this	Fund large randomised trials that use innovative methods to
area	allow for an evolving evidence base.
	Link with international partners on randomised trials using pre-
	approved protocols.
	Embed randomised, networked trials within the health care and
	public health systems.

### **Knowledge exchange session 2**

Leadership from research institutes attended session 2. Twenty-two individuals representing 19 organizations participated in this session. The 22 participants represented organizations in Canada (95%, n = 21) and New Zealand (5%, n = 1). All participants spoke English. Tables 6 to 9 summarize key themes related to what participants did, what they plan to do in the future, and what they wish they had done differently across each of the four functions of the WHO health research system framework (Pang, et al., 2003).

#### Table 6. Function 1: Governance/stewardship

Topic	Participant Responses
What participants	Ensured that researchers and clinicians had access to biological
did in this area	samples for COVID-19 research.
	Harmonized research ethics systems across regions.
	Hired staff to focus on health equity in COVID-19 research.







	Held events online rather than in person, to optimize
	accessibility.
	<ul> <li>Developed pan-Canadian networks to respond to research calls</li> </ul>
	(e.g., Coronavirus Variants Rapid Response Network).
	Centralized trial recruitment, to facilitate recruitment of
	participants across multiple COVID-19 trials.
	Convened regular meetings of research leaders to discuss
	activities that affected multiple institutions.
	Revisited research conduct and regulations, including
	development of standardized procedures for conducting trials in
	unconventional settings (e.g., patients' homes).
What participants	Continue to hold online/hybrid events.
plan to do in the	
future in this area	
What participants	Create a pan-Canadian data ecosystem to share data to
wish they had done	improve the quality and speed of research.
differently in this	<ul> <li>Create a universally accessible biobank, to improve the quality</li> </ul>
area	
alea	and speed of research.
	Centralize and accelerate processes such as ethics and contract
	approval across institutions, provinces/territories.
	Have common electronic patient records across regions, and
	facilitate access to these data.
	Have meaningful relationships with Indigenous leaders, to
	support their research needs.







- Ensure that organizational staff members reflect the diversity of the population.
- Facilitate pan-Canadian policy-maker support for clinician involvement in research.
- Develop an emergency preparedness plan for research that includes plans for data sharing, cybersecurity, and infrastructure.
- Implement pan-Canadian research coordination infrastructure to support activities such as centralized patient recruitment for clinical trials embedded in the health and public health systems.
- Work with funders from other countries to prioritize research roles according to each country's specialties and capacities.
- Create a collaborative, nimble, responsive, pan-Canadian platform for conducting clinical trials.
- Create a nimble system for synthesizing and disseminating/implementing clinical trial findings to support decision making.
- Use Canada's provincial/territorial health and public health care systems to evaluate impact of different mandates/recommendations for COVID-19 management.

#### 1848 Table 7. Function 2: Financing

Topic	Participant Responses
What participants	Tracked and shared with policy- and decision makers existing
did in this area	funding sources.







	Accessed unrestricted funds and resources so that research
	projects could be executed quickly.
	Accelerated the grant peer review process.
	Created a retention program to support research salaries.
	Developed pan-Canadian networks on specific research topics.
	Partnered with federal government agencies to quickly
	disseminate funding information.
	Identified opportunities for researchers to collaborate.
	Required all funded research to consider the health
	advancement of those marginalized by systemic injustice and to
	integrate equity into research funding plans.
	Required all funded research to publicly disclose results
	immediately to support rapid data sharing.
	Streamlined format and timeline flexibility for researchers.
What participants	Provide more funding to support salaries for students, research
wish they had done	staff, and researchers, to facilitate retention.
differently in this	Establish health network research hubs to coordinate start-up
area	research incubators and businesses.
	Address how some research sometimes goes unfunded
	because it does not meet certain traditional standards of
	methodological excellence.
	Increase funding for knowledge mobilization activities.
	Provide more dedicated time and funds for clinician-scientists to
	do research.







#### Table 8. Function 3: Capacity building

Topic	Participant Responses
What participants	Created accommodations for trainee researchers (e.g., allowed)
did in this area	Master's and PhD students living in other countries to enroll
	virtually and maintained financial support for them).
	Supported hospitals to rapidly set up research, in particular
	clinical trials, and trained clinicians in research practices.
	Advocated for infrastructure to support integration of research
	into practice and policy.
	Ensured that research trainees and students were paid fairly.
	Introduced additional leave days that could be taken anytime,
	without warning or explanation, to facilitate retention.
	Implemented additional workplace supports such as flexible
	work hours.
What participants	Support researchers without Canadian citizenship whose
wish they had done	documentation had expired and who consequently lost eligibility
	for grant funding.







# differently in this Develop a plan for emergency preparedness, encompassing area data sharing across Canada and cybersecurity. Create infrastructure and dissemination strategies to showcase what an organization, region, or country can offer in terms of research, with linkage to the health system. Create more opportunities for researchers to commercialize their research or to efficiently start small or medium enterprises. Use data and modelling to predict resource needs (e.g., workforce, equipment). Use data to understand research workforce needs, ensuring that research staff reflect the diversity of the population. Sustainably invest in knowledge brokers (individuals who understand the evidence needs of health system leaders and can develop relationships to increase evidence uptake). Facilitate patient access to clinical trial opportunities as part of treatment options in hospital-based trials, community-based trials, and decentralized trials.

#### 1850 Table 9. Function 4: Producing and using research

Topic	Participant Responses
What participants	Held science communication courses for knowledge users.
did in this area	Required plans for patient engagement and knowledge
	mobilization in grant applications.
	Developed a knowledge mobilization/consultation/support
	service to help researchers increase patient engagement.







- Communicated research through various media, such as opinion pieces for newspapers, podcasts, and webinars.
- Had regular conversations with policy- and decision-makers to facilitate knowledge mobilization of research findings.
- Worked with patients to determine COVID-19—related research questions that the patients and their families cared about.
- Co-developed knowledge mobilization materials with the target audience.
- Developed research briefs to disseminate research.
- Monitored what non–COVID-19 research was paused or not funded, to determining how to restart or begin the work.
- Developed virtual knowledge mobilization activities.
- Created roles for knowledge brokers to conduct rapid knowledge mobilization across several projects and to set up a knowledge mobilization network.
- Included relationship-building requirements in research grants,
   to support knowledge mobilization of findings.
- Developed a panel of early-career researchers doing knowledge synthesis work, to share research, connect researchers to policy- and decision-makers, and provide researchers with exposure to the decision-making process.
- Held events with researchers to discuss their challenges in building relationships with policy- and decision-makers.







	Used researchers and patients' personal impact stories to
	convey the value of health research.
	Developed the Pan-Canadian Health Data Strategy to support
	sharing of data across organizations.
	Linked researchers with policy- and decision-makers.
What participants	Hold science communication courses and include both
wish they had done	knowledge developers and users.
differently in this	Address concerns of researchers that they would not be able to
area	publish if they shared data before publication.
	Use the pandemic as an opportunity to communicate what
	science is and what it is not, and how evolving evidence can
	lead to inconsistent and evolving policy decisions.
	Link science writers with researchers and patients to craft
	messages about research findings for the public; have
	experienced communicators share these messages.
	<ul> <li>Invite patient partners to be authors on academic papers.</li> </ul>
	Tailor knowledge mobilization events to specific audiences.
	Have a broader patient partner base, to decrease the burden on
	patient partners, and ensure they are fairly compensated.
	In funding calls, include links to resources (e.g., people,
	services, or organizations) related to knowledge mobilization
	and patient engagement, to facilitate partnerships between
	researchers and knowledge mobilization services.







- Collect and present data on the return on research investment for research users.
- Provide time and support for researchers and clinicians to disseminate their research, especially when the content is controversial.
- Maintain strong relationships with patient partners.
- Develop a pan-Canadian strategy for implementation science.
- Link knowledge synthesis researchers to decision-makers.

#### **Knowledge exchange session 3**

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Members of the public and researchers attended session 3. Twenty-three individuals participated in session 3. Fifteen participants were members of the public and 8 were researchers. All participants lived in Canada. Participants spoke both English (91%, n = 21) and French (9%, n = 2). Ten participants were women (43%), 10 participants were men (43%), 2 participants were non-binary (9%), and 1 participant did not disclose their gender. For the majority of participants, self-identified racial background was white (48%, n = 11), followed by Black (9%, n = 2), East Asian (9%, n = 2), Middle Eastern/West Asian (9%, n = 2), South Asian (9%, n = 2), Indigenous (First Nations, Metis, Inuk/Inuit) (4%, n = 1), Jewish (4%, n = 1), Arab-Berber (4%, n = 1), and undisclosed (9%, n = 2). Participants could select multiple racial background categories, therefore, the numbers do not sum to 23.

Tables 10 to 13 summarize key themes related to what participants thought research funders, policy- and decision makers, and researchers should do in the future across each of the four functions of the WHO health research system framework (Pang, et al., 2003).

#### Table 10. Function 1: Governance/stewardship







Group	Participant Responses
Research funders	Redefine research excellence to include more than randomized
	controlled trials and journal publications.
	Increase collaboration between provincial/territorial ministries of
	health and national funding agencies, to develop collaborative
	research projects across ministries. Such projects should be
	developed with patient partners and should be considered
	through equity, diversity, inclusion, and anti-racism lenses.
	Harmonize research ethics boards across institutions and
	provinces/territories.
	When monitoring and evaluating research projects, include
	multiple parameters for success, particularly ones that include
	communities' perceptions.
	Take more responsibility for ensuring that research is conducted
	ethically, for example, by offering ethics capacity building for
	researchers and acting as an arbiter for disputes between study
	participants and researchers.
	Allow postdoctoral researchers to be principal investigators on
	funding applications/allow them to collaborate on applications to
	give the next generation of researchers relevant experience.
	Specify patient engagement requirements in grant calls, and
	include requirements to ensure that the patient sample is
	diverse. Include suggestions to allow for engagement between
	policy- and decision-makers and patient partners.







- Develop a centralized list of health research priorities that includes input from patients/members of the public and policyand decision-makers.
- Set up infrastructure to respond to emergency situations (including pandemics) to allow a rapid funding response.
- Recognize the role of white supremacy and colonization in shaping health research systems and take steps to mitigate it, for example, by providing communities traditionally excluded from and harmed by research with more funding and autonomy to carry out research themselves, by ensuring more representation from these communities in decision-making positions, and by establishing less competitive grant models.
- Increase salary/wages for researchers to keep up with the cost of living and to encourage careers in research. Specifically, funders could increase scholarships and stipends for trainees and postdoctoral researchers.
- Require community engagement and relationship development from project onset, so that engagement is not tokenistic; include this goal in organizational mandates and priorities.
- When developing priority areas for research or when developing research institutes/departments, have discussions with and recruit community members and those with relevant lived experience into research leadership roles.







	Implement a system for peer reviewing the peer reviewers, to
	allow oversight of the peer review process and address systemic
	funding issues (e.g., systemic bias in funding decisions).
Policy/decision -	Update intellectual property policies to encourage work in areas
makers	that may not be financially lucrative.
	Develop better data-sharing systems and systems of health
	research collaboration among provincial/territorial ministries.
	Encourage more researchers to enter public health policy, with a
	view to reducing the political influence on science.
	Create an international consultative panel independent of
	government, to provide recommendations based on health
	research in times of crisis.
	Where possible, include patients as equal partners at the
	governance decision-making levels.
	Facilitate representation of all provinces and territories at
	decision-making levels to support coordination.
	Fund research on how the health care system is operating, to
	determine how it can better serve the public.
	Develop a list of priorities across provinces and territories and
	determine which locations/research programs are best suited to
	conduct research in each priority area.
	Research funding agencies and government ministries of health
	are working separately to create engagement opportunities
	between patient partners and policy- and decision-makers.







	<ul> <li>To prevent researchers and research staff experiencing marginalisation, put in place strong policies to investigate, address, and monitor complaints about discrimination or microaggressions.</li> <li>Develop an independent body of policy-makers to weigh research requirements among COVID-19 research, non—COVID-19 research, and capacity building, and determine how funding should be balanced among these three areas.</li> </ul>
Researchers	<ul> <li>Evaluate the research experiences of patient partners throughout the COVID-19 pandemic.</li> <li>Aim for research teams that are reflective of the relevant research population, with lived experience in the topics that are being researched.</li> </ul>

## 1866 <u>Table 11. Function 2: Financing</u>

Group	Participant Responses
Research funders	Include patients and people with lived and living experience on
	panels for review of research funding calls.
	Create or incentivize collaborative rather than competitive
	grants. Consider models in which researchers are not
	responsible for bringing funding to their university or hospital.
	Consider programmatic funding, as opposed to, or in addition to,
	project funding. Such funding involves base support, with
	additional funding for specific research projects.







- Encourage joint research funding and collaboration models across countries and create legal, IP, and data sharing agreements.
- Increase flexibility in research project timelines, to ensure that
  patient engagement and knowledge mobilization activities are
  conducted with care, while balancing the need for timely
  responses to urgent research calls during emergencies.
- Ensure research priorities and funding allocations are transparent.
- Track and publicize which research proposals are funded and which are not funded, to help researchers understand which research questions are more likely to receive funding.
- Implement systems at research institutions and funding agencies to allow appropriate funding for patient partners.
- Ensure funding calls include requirements for the research team to have identities similar to those of the research population.
- Ensure funding calls include requirements for diversity among patient partners.
- Consider equity, diversity, inclusion, and anti-racism at each step in the research project, including in grant applications.
- Balance research on COVID-19, long COVID, and other diseases/conditions.
- Invest in research into the indirect impacts of COVID-19 (e.g., on education, on mental health, on health care providers).







- Given that health care providers are paid significantly more for clinical work than for research work, provide salary support for clinician researchers, to encourage research.
- During emergencies, reduce the intensity of grant requirements, given the amount of time and resources required to prepare grant applications, many of which are unsuccessful.
- Reduce the competitiveness of funding by reducing application requirements and developing more collaborative grants.
- Reserve a portion of grants for early career researchers.
- Ensure that funding allocation decisions throughout each research competition are transparent.
- Reduce the fees for journals' open-access publication, and impose limits on those fees.

### Policy/decisionmakers

- Weigh ethical issues (e.g., conflicts of interest, influence on priorities) to help decide where funding should be sought.
- Encourage standardization of participant compensation across the country.
- Adjust rules related to patents and intellectual property for vaccines and other therapeutics, to allow for greater distribution of these research products.
- Encourage collaboration among industry partners in the development of therapeutics, diagnostics, vaccines etc., to increase effectiveness and the speed of development and to reduce the funding required.







	Encourage industry partners to cycle the profits made from
	COVID-19-related products and services back into the health
	research system to fund additional health research.
	To increase research funding, require higher-income individuals
	and corporations (especially those who profit from health issues,
	such as pharmaceutical companies) to pay more taxes.
	Take responsibility for the funding cuts made before the
	pandemic that had harmful effects on the health care and health
	research systems by re-investing in care and research.
	Increase remote work and work-from-home opportunities for
	researchers, to increase collaboration across various
	communities, to bring new perspectives to research questions,
	and to potentially reduce the cost of some research activities.
	Encourage private research funding options, so that researchers
	have more options when applying for continuation of funds.
Researchers	Set up systems for crowd funding of research projects, and
	ensure that this is done ethically.
	Conduct research into public uptake/understanding of science
	and how this can be improved.
	Conduct research on the reasons for migration of health care
	workers across provinces/territories and why health care
	workers are lacking in some regions.

#### 1867 Table 12. Function 3: Capacity building

Group	Participant Responses	







Research funders	Expand the pool of patient partners involved in grant peer
	review.
	Ensure that researchers and research staff across experience
	levels are paid an attractive wage that allows them to support
	themselves and their families. Support job security for
	researchers and research staff.
Policy/decision-	Reduce barriers (e.g., financial, lack of representation) that
makers	
Illakeis	prevent populations less commonly represented in research and
	policy- and decision-making from entering these fields. Ensure
	they are aware of the range of research-related positions.
	<ul> <li>Invest in engaging young people (e.g., high school age and</li> </ul>
	undergraduates) in research by introducing them to research
	concepts, fields, and careers through programs and providing
	guidance counsellors with relevant information.
	Build capacity amongst policy- and decision-makers to
	understand and use evidence in decision making.
Researchers	Ensure that research teams reflect the identities of their
	respective research populations, to provide insight on
	appropriate research questions, methods, inclusion criteria, etc.
	Train researchers to engage policy-and decision-makers.
	Ensure that patient engagement methods have a trauma-
	informed lens and that engagement activities are safe spaces
	for individuals to contribute. Conduct training if needed.



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 Engage in training or other forms of capacity building to unpack and dismantle individual internal biases or discriminatory beliefs.

#### Table 13. Function 4: Producing and using research

Participant Responses
a) Require researchers to provide accessible, lay-language
summaries of their research through written materials on social
media, or through events.
b) Develop communications plans to convey to the public the
importance of health research and why the public should care
about and engage in research.
c) Include requirements for meaningful and transparent patient
engagement as part of the ethical standards for research
projects.
d) Consider funding social media or marketing positions in research
laboratories and research programs.
e) Create educational courses or seminars on research directed
toward patient partners, to increase patients' understanding of
their role on a research team and to increase their engagement.
f) Develop and fund a pan-Canadian database that allows patients
and researchers to connect for patient engagement or project
recruitment purposes.
g) Allow patient partners to use abbreviated Common CVs when
applying to participate in research.







# Policy/decision - makers

- When instituting change in public health practices, invite
  community leaders to attend information sessions where they
  can ask questions, voice concerns, and build relationships with
  the policy- and decision-makers, and have them disseminate this
  information to their community members.
- Increase public transparency related to investments in and outcomes of health research.
- Increase accountability and transparency for determining
   whether and how policy- and decision-makers follow research
   evidence and apply the evidence to their decision-making.
   Evaluate whether and how decisions are informed by evidence.
- Develop a centralized list of research opportunities for patient partners by institution, province/territory.
- Invest in marketing and promotion of health research in Canada, as well as in removing misinformation. Use a range of approaches such as social media, community leaders, and community organizations. Use community connections to disseminate this information, to increase trust.
- Consider how to build a future of health care research where every encounter with the health system is an opportunity for research/evaluation.
- Build knowledge mobilization capacity among policy- and decision-makers.







#### Researchers

- Increase accessibility of research to participants by offering the
  opportunity for researchers to travel to participants to collect
  data, offering evening and weekend options to participate, and
  providing language options. Consider using community leaders
  to support recruitment and data collection. Such efforts could
  promote diversity in the participant sample.
- Increase involvement in health advocacy beyond the researcher's own research projects.
- Treat patient partners as research partners; consider giving patient partners concrete roles within the research project.
- Invest more time in marketing and promotion of research opportunities to patient/community partners. Promote these opportunities on websites and social media platforms and through posters in locations where people gather.
- When recruiting patients and community members for research roles, recognize that some communities have a valid distrust of health care and health research systems due to historic and ongoing harm against certain groups. Aim to build collaborative, trusting relationships.
- To increase transparency and dissemination, promote research projects to the research community, the public, and policy- and decision-makers while they are still in progress, providing updates on preliminary results.







- Develop living systematic reviews that are accessible to the research community, the public, and policy- and decision-makers for specific health topics (e.g., COVID-19), to allow these audiences to stay informed about new evidence.
- Budget for patient engagement throughout the project.
- Consider engaging patients, particularly those with relevant lived experience, in fundamental or pre-clinical research.
- Incorporate patient partners across research activities, including formulating the question, developing methods, performing data analysis and interpretation, and conducting knowledge mobilization activities.
- Evaluate the impact of knowledge mobilization on community health outcomes.
- Provide research mentorship for patient partners.
- Develop knowledge mobilization materials that are transparent and accessible to the public, traditional and social media, and policy-makers.

#### Survey 2

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A total of 85 individuals completed survey 2. Just under half of the participants were from research organizations (49%, n = 42). Another third (32%, n = 27) were research users (e.g., members of the public or patients, government employees, journal staff, health care providers), and smaller proportions were from health research funding agencies (2%, n = 2) and other types of organizations (e.g., health authorities, consultants) (16%, n = 14).







When asked which recommendations were of greatest importance for implementation in Canada, participants gave the highest ratings to recommendations that focused on prioritizing equity and anti-racism in research processes, improving patient engagement in research, enhancing emergency preparedness, increasing researcher and research trainee wages and development opportunities, and aligning and coordinating research priorities across different entities.

Common feedback from participants on the draft recommendations included a preference to avoid additional layers of oversight or bureaucracy across the research process, enthusiasm for harmonized research processes (e.g., research ethics board processes), and a desire to increase coordination among existing organizations.

#### **Survey 3**

A total of 54 individuals completed survey 3. Over half of the participants were from research organizations (63%, n = 32). About one quarter (24%, n = 12) were research users (e.g., members of the public or patients, government employees, journal staff, health care providers), and smaller proportions were from health research funding agencies (6%, n = 3), government (2%, n = 1), and other types of organizations (e.g., advocacy organizations) (12%, n = 6). Participants were asked to select their priority action for each recommendation. In the following section, actions are listed with the top two ranked appearing first and second and whom they felt should be responsible for their implementation.